

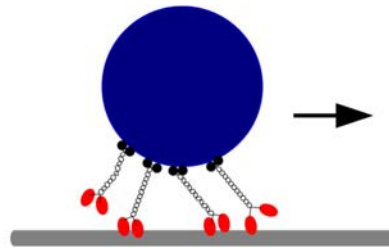
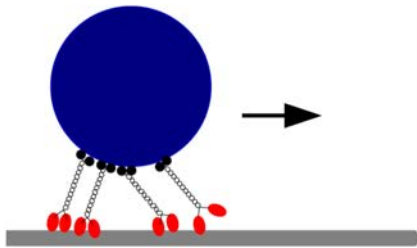
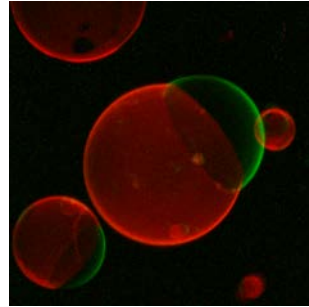
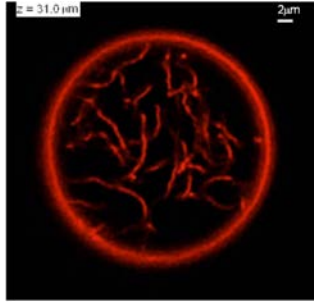
# Morphological Complexity of Biomembranes

Reinhard Lipowsky

*MPI of Colloids and Interfaces, Potsdam, Germany*

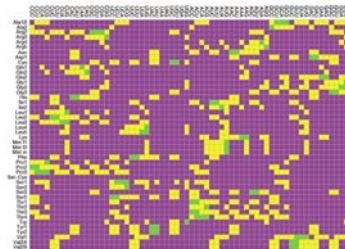
- Biomembranes and Giant Vesicles (GUVs)
- Spontaneous (Sp) Curvature
- Controlled Division of GUVs
- Constriction Forces from Sp-Curvature
- Multispherical Shapes of GUVs
- Spontaneous Tubulation
- Concept of Membrane Tension
- Outlook on Related Processes

# Three Basic Modules



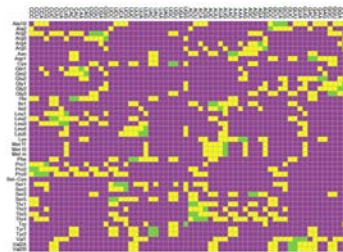
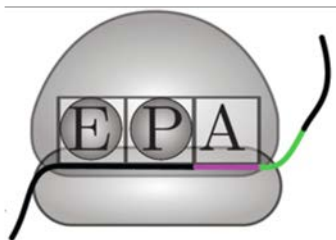
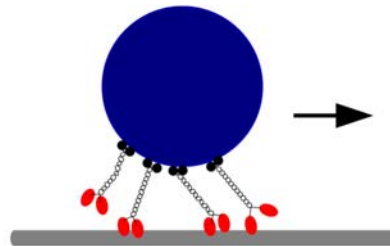
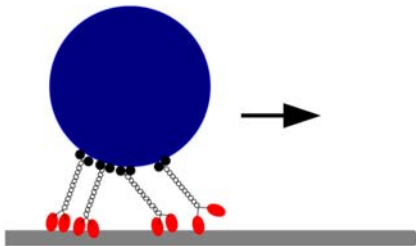
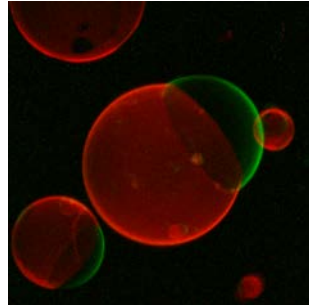
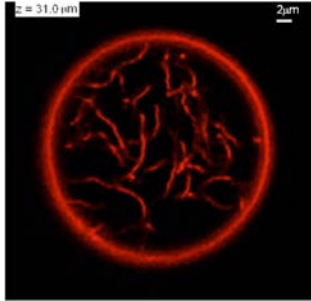
- Membrane and vesicles, fluid compartments, remodeling

- Directed transport by molecular motors, free energy transduction



- Protein synthesis by ribosomes, information processing

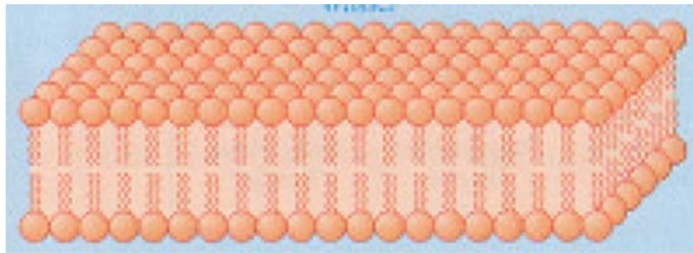
# Theory <-> Experiment



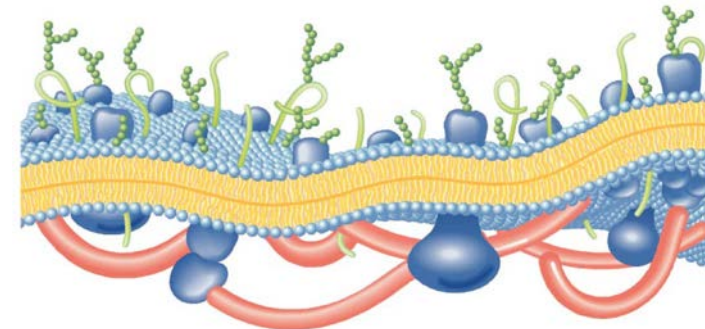
- Fruitful interplay Th <-> Exp quantitative parameter estimates, from understanding to construction
- Successful theory for transport, missing parameters: motor number, reaction free enthalpy, ...
- Multistep process, codon-specific and context-specific rates, strong coupling to other modules

# Multiscale Membranes

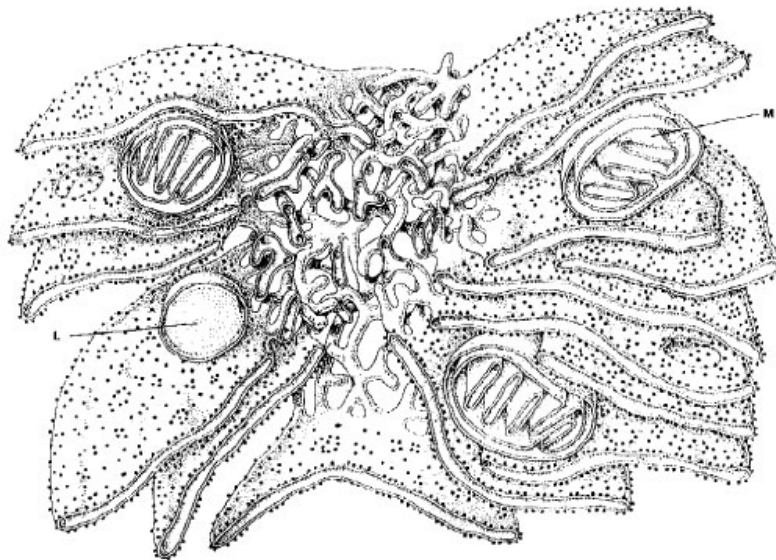
- Lipid bilayer



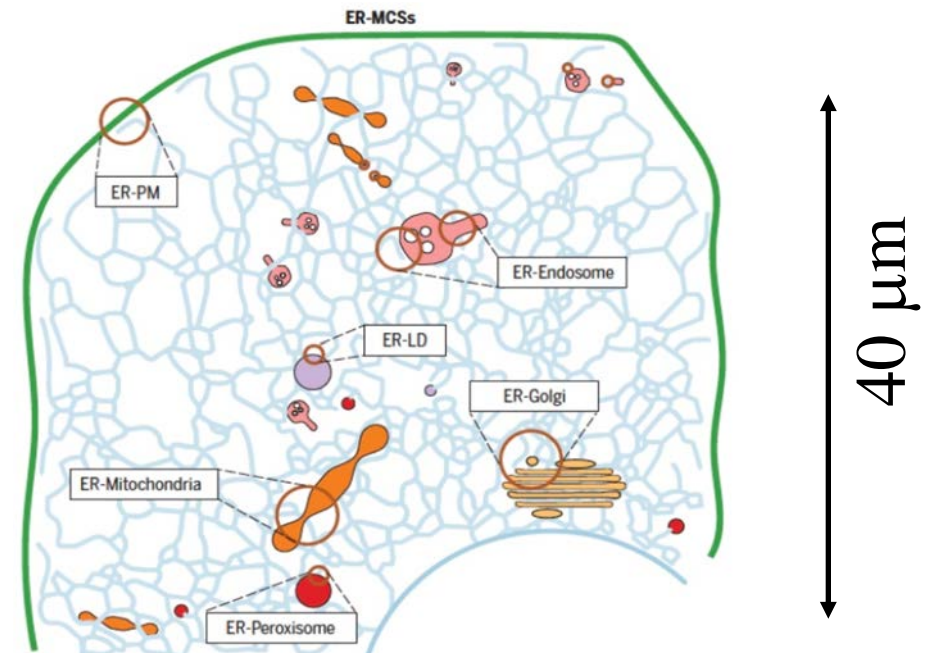
4 nm



- Biomembrane



- Endoplasmic reticulum (ER)

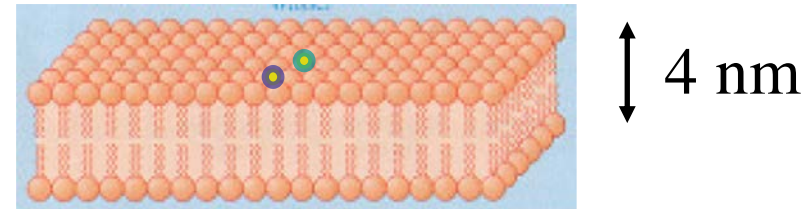


40  $\mu\text{m}$

# Biomembranes are Fluid Bilayers

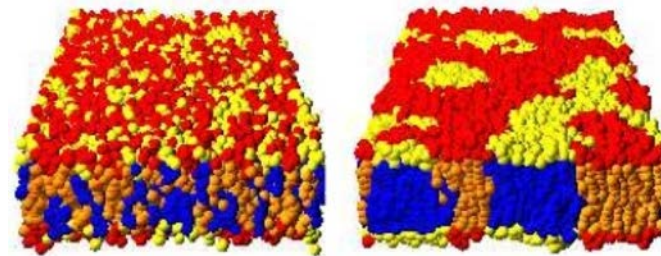
- **Fluid** membranes, i.e.,  
fast lateral diffusion:

Diffusion constant  $\sim \mu\text{m}^2/\text{s}$

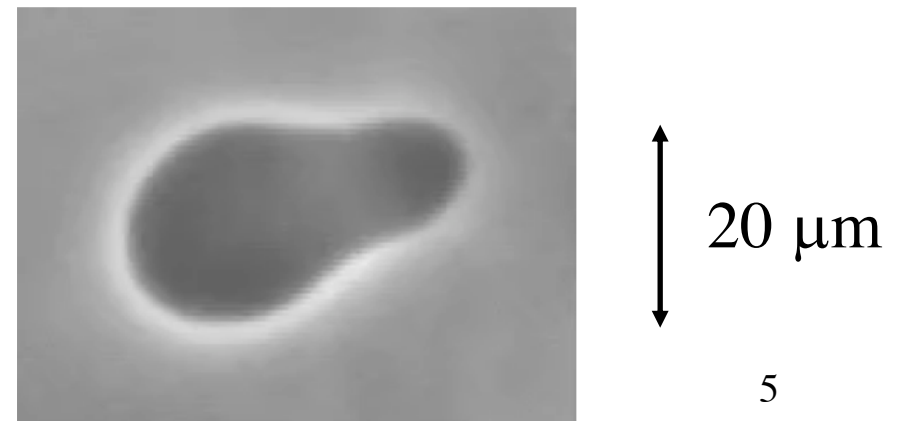


lipid swapping  $\sim \text{ns}$

- Lateral diffusion =>  
**Compositional responses**,  
demixing, domain formation ...

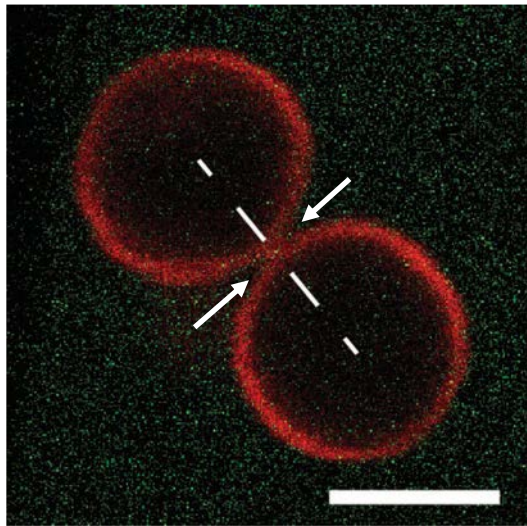


- Flexibility =>  
**Morphological responses**,  
budding, tubulation, ...  
Direct evidence for fluidity



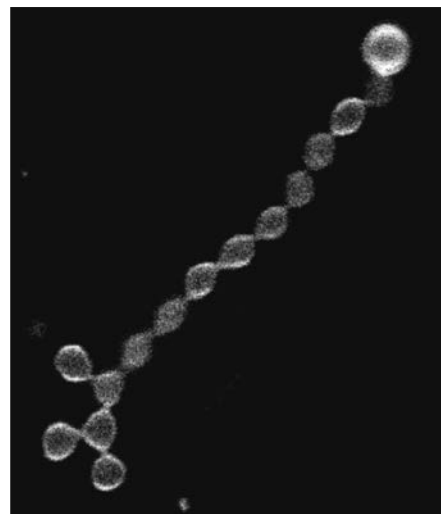
# Giant Vesicles with Membrane Necks

- Giant Unilamellar Vesicles (GUVs), size of 5 – 50  $\mu\text{m}$
- Lipid bilayers, thickness of 4 -5 nm
- Many different shapes with membrane necks:



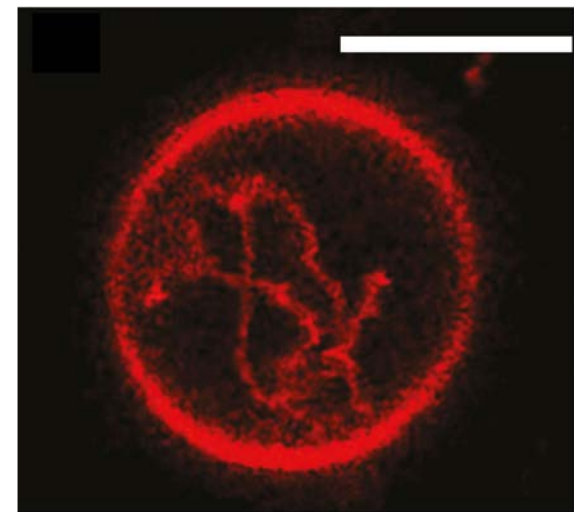
Dumbbell, (1+1)-sphere,  
one membrane neck

Steinkühler et al,  
*Nature Comm* (2020)



(1+14)-sphere,  
14 necks

Bhatia et al,  
*Soft Matter* (2020)

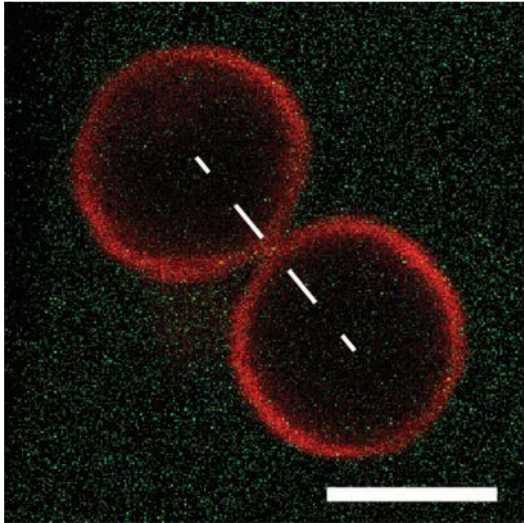


Long nanotubes,  
width of 100 nm

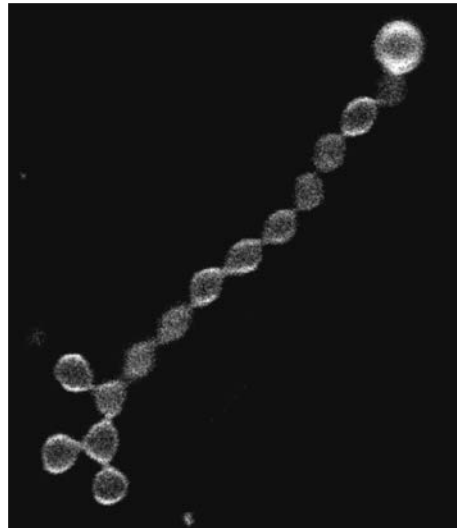
Bhatia et al,  
*ACS Nano* (2018)<sub>6</sub>

# Multiresponsive Compartments

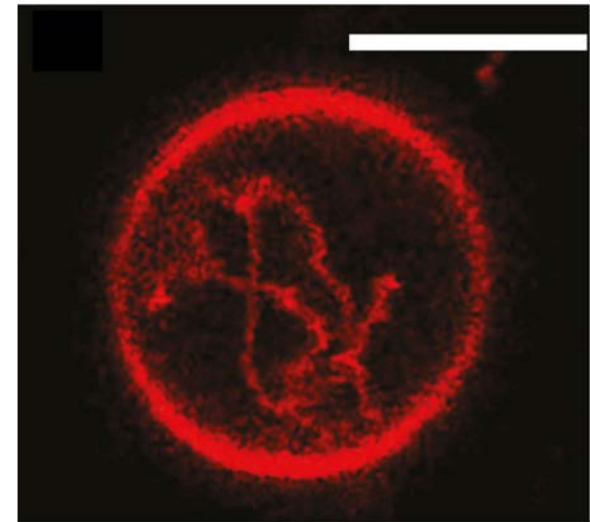
- Nanoscale: Lipid membranes provide **fluid** walls
- Membrane elasticity = bending and stretching
- Morphological responses via molecular interactions:



Exposed to  
His-tagged GFP  
in exterior solution



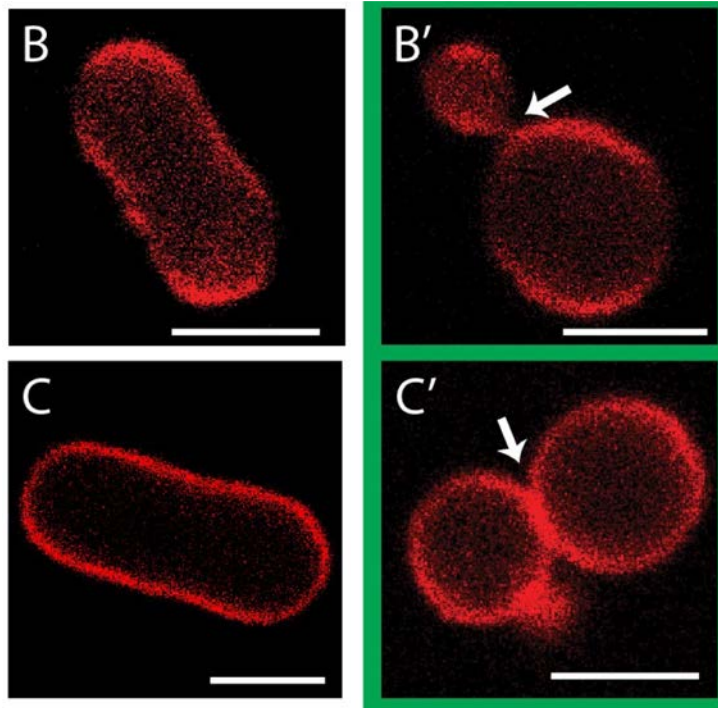
Sucrose inside,  
glucose outside



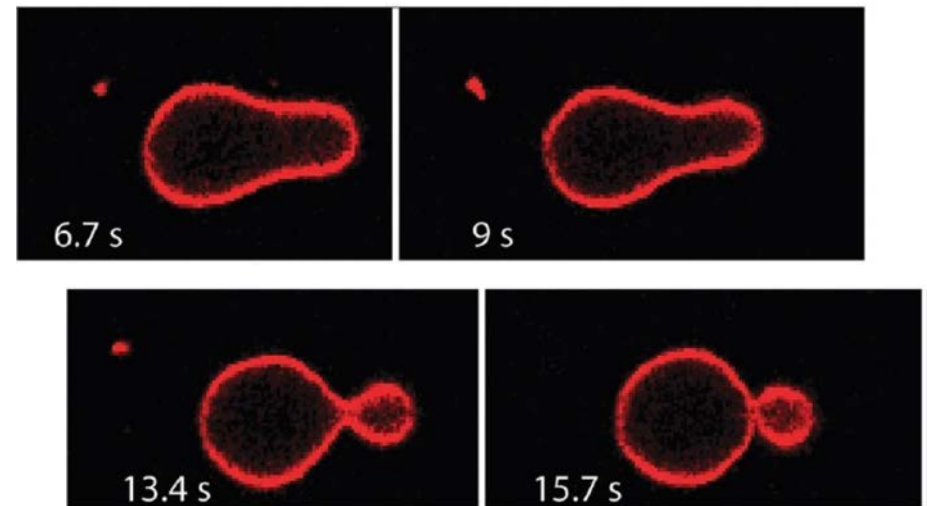
Exposed to  
glycolipid GM1 with  
bulky head group

# Budding and Membrane Necks

Neck formation by  
increase of [GFP]



Neck formation by  
osmotic deflation:



Membrane neck provides  
'wormhole in 3-dim space'

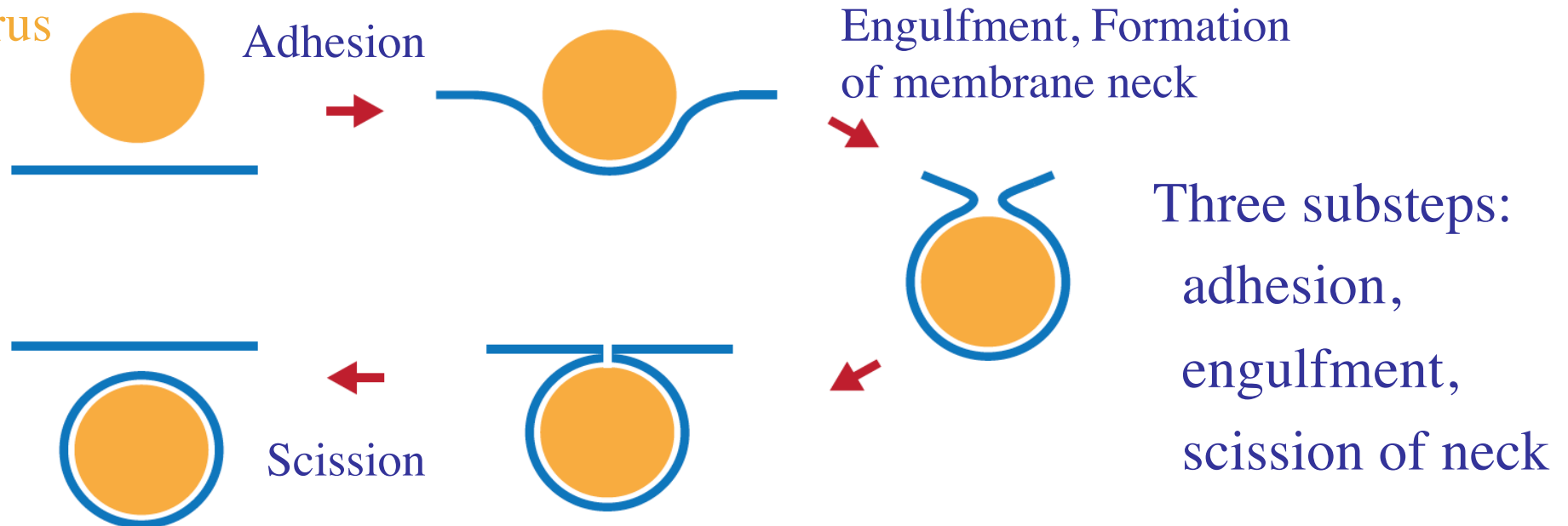
- Theory of curvature elasticity:  
Budding and neck formation  $\Leftrightarrow$  spontaneous curvature



# Endocytosis of Viruses

- Crossing the cell membrane by endocytosis:

Corona  
Virus



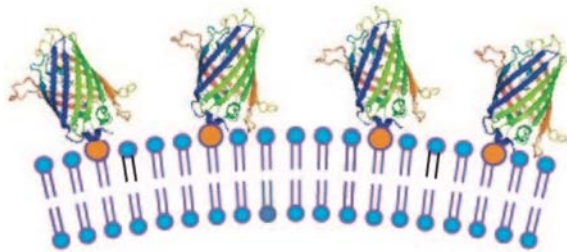
- Interplay of spontaneous curvature and adhesion length

Agudo-Canalejo, RL, *ACS Nano* (2015); *Soft Matter* (2017)

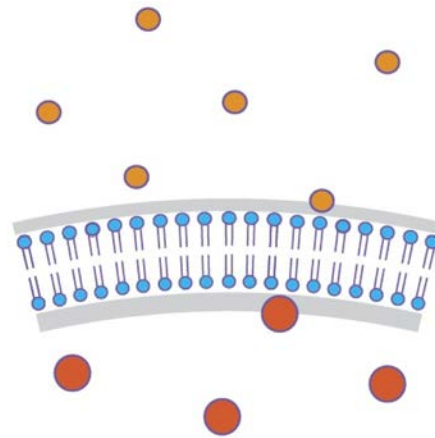
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# Key Parameter: Spontaneous Curvature

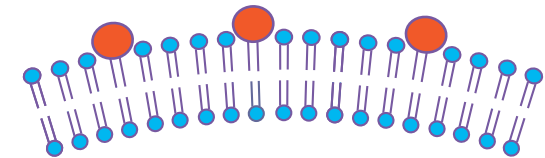
- Lipid bilayer consists of two leaflets
- Spontaneous or preferred curvature  $m$  describes bilayer asymmetry = asymmetry between two leaflets
- Different molecular mechanisms for sp-curvature:



Binding of GFP  
to outer leaflet



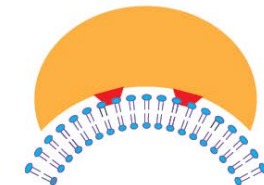
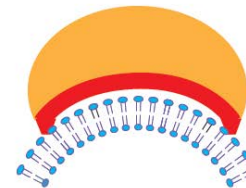
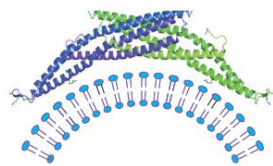
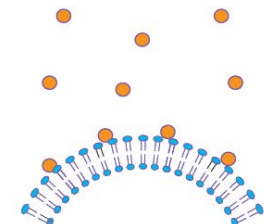
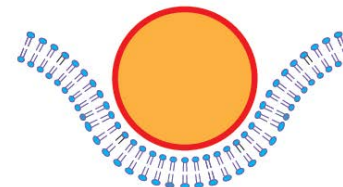
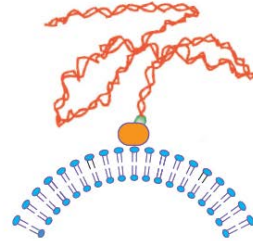
Depletion layers  
of glucose and  
sucrose



Asymmetric mole  
fraction of GM1 with  
large head group

# Importance of Sp-Curvature

- Bridges the gap between molecular and mesoscopic scales
- Spatially uniform membranes: Shape of GUVs depends only on volume, area, and sp-curvature
- Emergent property on length scales that exceed about 6 nm
- Sp-curvature determined by **local** bilayer asymmetry
- Asymmetry from lipids (GM1), anchored polymers, bound proteins, nanoparticles, ...



# Importance of Sp-Curvature

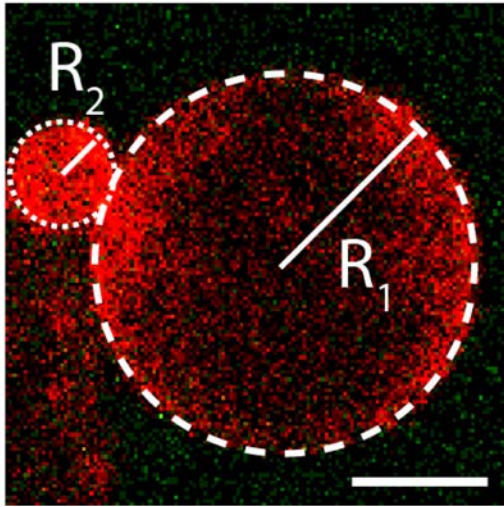
Sp-curvature crucial for:

- Size of membrane buds, stability of membrane necks
- Domain-induced budding of phase separated membranes
- Spontaneous formation of membrane nanotubes
- Endocytosis of viruses and nanoparticles
- Wetting of membranes by droplets
- Active shape oscillations of GUVs

Two challenges:

- How to **measure** or **deduce** the spont curvature  $m$ ?
- How to **specify** and **control** the spont curvature  $m$ ?

# Stability of Closed Necks



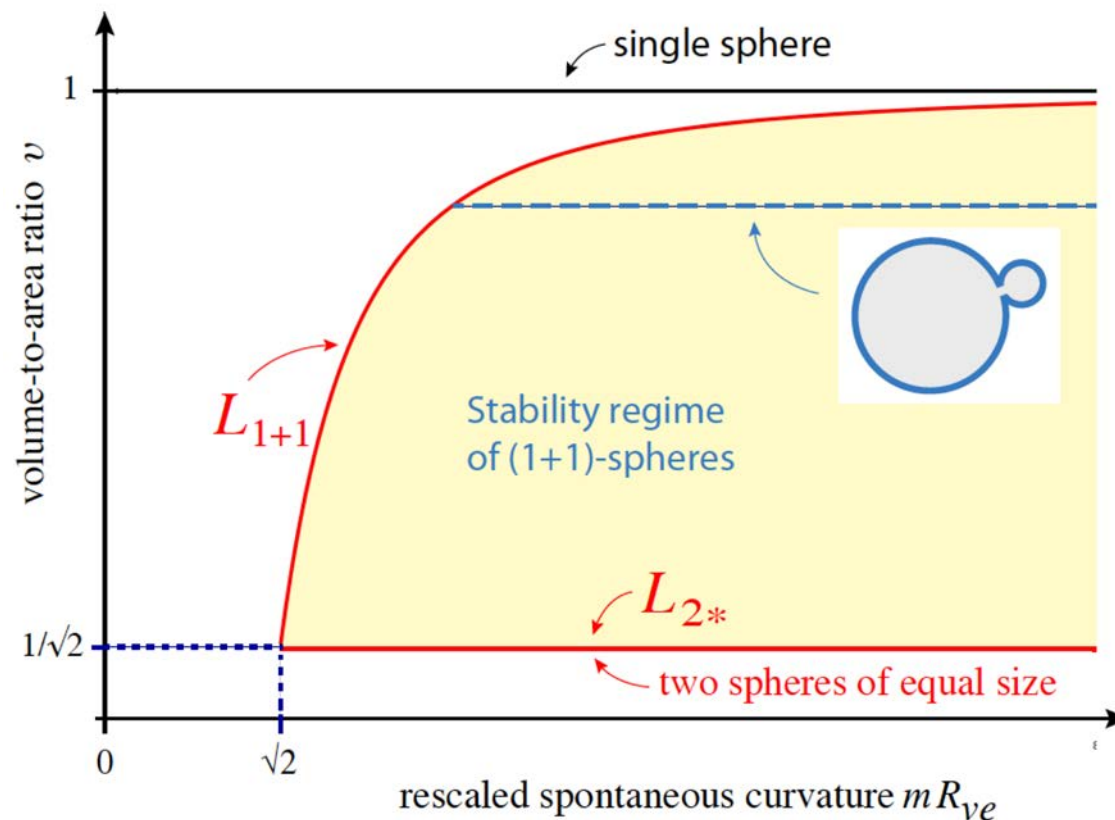
- Sp-curvature  $m$  can be positive or negative
- Out-buds  $\Leftrightarrow$  positive values  $m > 0$
- Positive sp-curvatures  $m$  above certain threshold value  $\Leftrightarrow$  dumbbells with closed membrane necks

Dumbbell = (1+1)-Sphere

- Large and small sphere with radii  $R_1$  and  $R_2$
- Neck curvature  $M_{ne} = (1/2) (1/R_1 + 1/R_2)$
- Closed neck is stable if  $0 < M_{ne} \leq m$
- **Local** relation between geometry and material parameter

# Stability Regime of Dumbbells

- Simplest case: Uniform membrane, no domains or rafts
- Vesicle size  $R_{ve}$  as basic length scale
- Two dimensionless shape parameters:  
volume-to-area ratio  $\nu$  and rescaled sp-curvature  $mR_{ve}$



within yellow  
stability regime:  
dumbbell shape  
depends only  
on  $\nu$  and not on  $mR_{ve}$

RL, *Giant Vesicle Book*,  
Ch. 5 (2020)

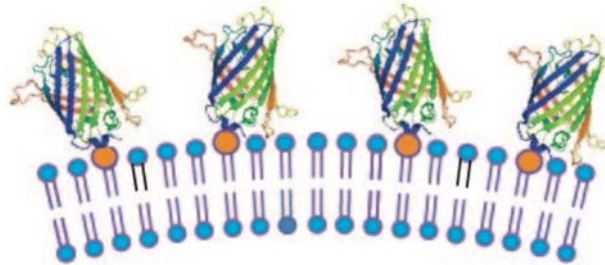
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# Fine Tuning of Spontaneous Curvature

Jan Steinkühler ... RL : *Nature Comm.* (2020)

- Binding of GFP to small mole fraction of anchor lipids:



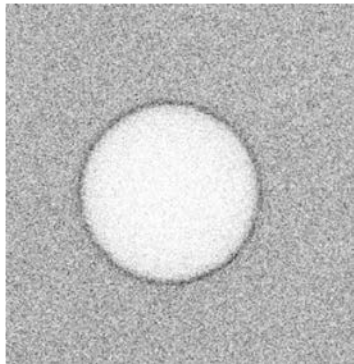
His-tagged GFP  
NTA-lipids

- Nanomolar GFP concentration  $X$  as control parameter
- Density  $\Gamma$  of bound GFP increases linearly with  $X$
- Sp-curvature  $m$  increases linearly with  $\Gamma \sim X$
- Dilute regime: separation of bound GFPs  
much larger than lateral size of GFP

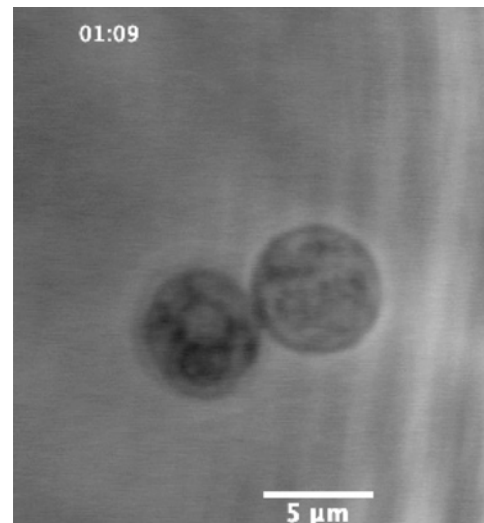
# Controlled Division of GUVs

Jan Steinkühler ... RL : *Nature Comm.* (2020)

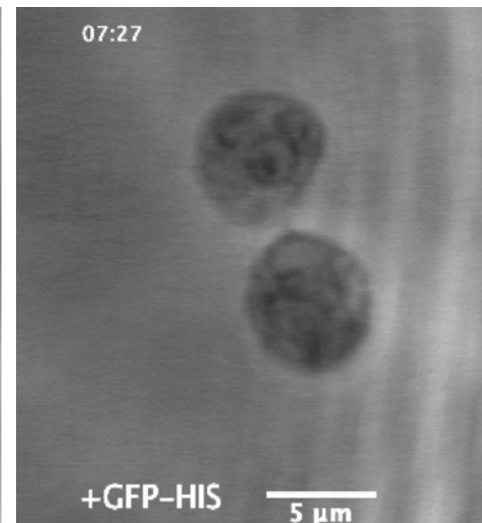
- Osmotic deflation + GFP binding
- Osmotic deflation: Spherical GUV  $\rightarrow$  dumbbell GUV  
Increase in GFP  $\rightarrow$  Neck cleavage  $\rightarrow$  Two daughter GUVs



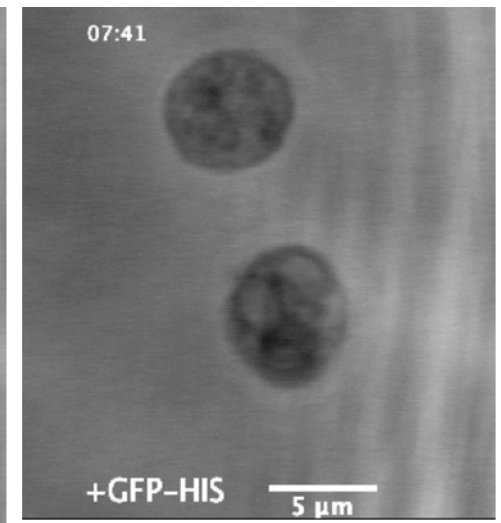
Adsorption of GFP onto GUV membrane



Deflation leads to dumbbell with membrane neck



Directly after neck cleavage

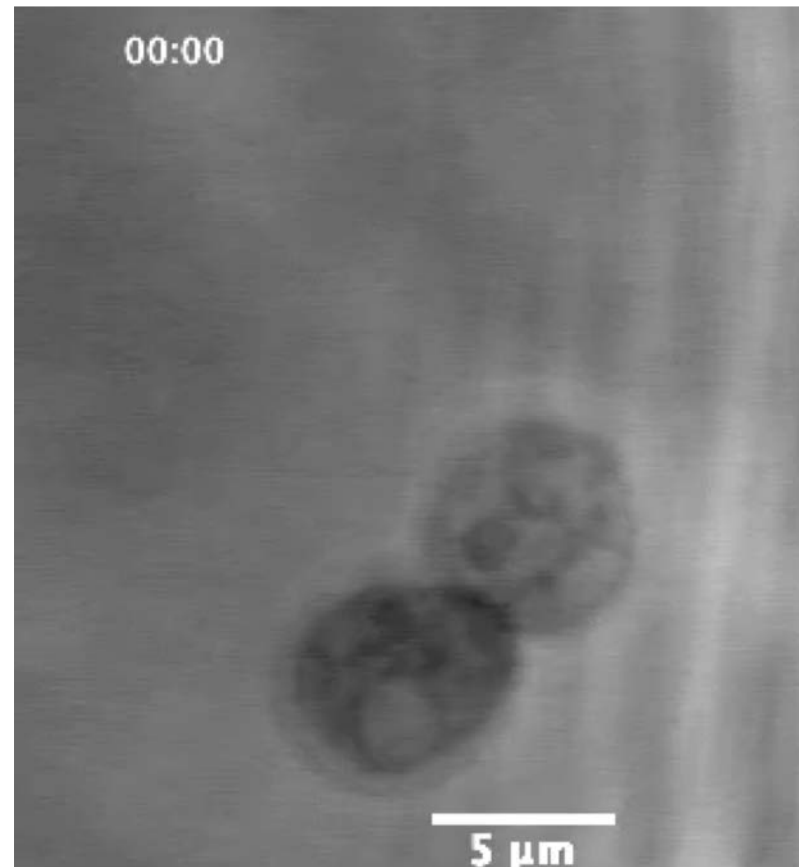


Complete division into two smaller GUVs

# Controlled Division of GUVs

Jan Steinkühler ... RL : *Nature Comm.* (2020)

- Osmotic deflation: Spherical GUV -> dumbbell GUV  
Increase in GFP -> Neck cleavage -> Two daughter GUVs



# Constriction Force from Sp-Curvature

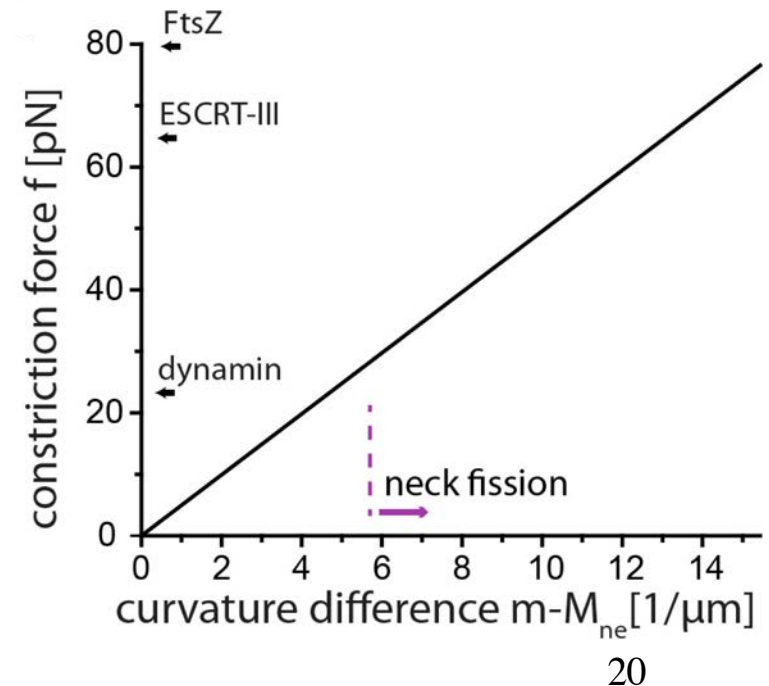
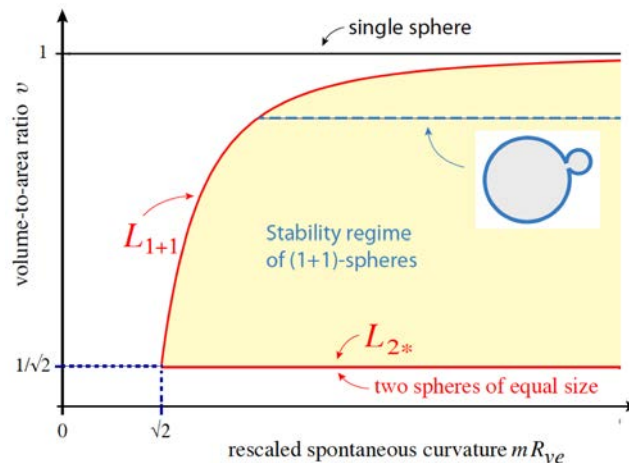
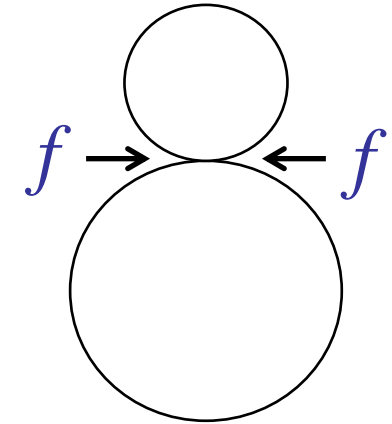
RL, *Giant Vesicle Book*, Ch. 5 (2020)

- Sp-curvature  $m$  generates constriction force  $f$  acting radially on membrane neck:

$$f = 8\pi \kappa ( m - M_{ne} )$$

bending rigidity  $\kappa$ , neck curvature  $M_{ne}$

- Force  $f$  increases with increasing sp-curvature  $m$ :

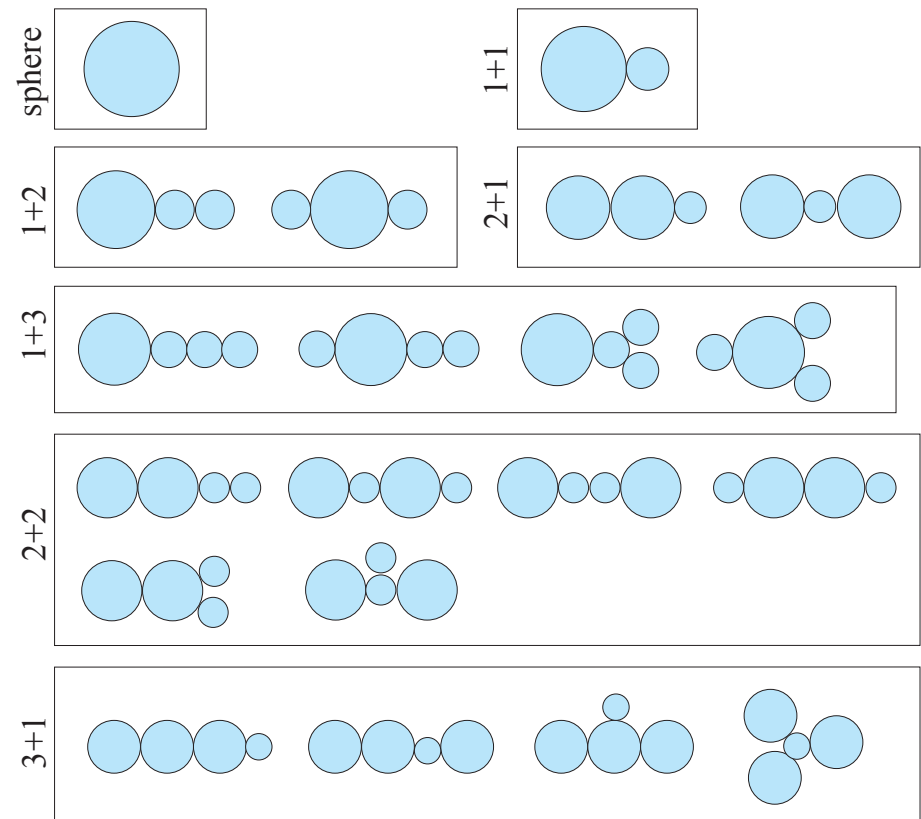


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# Multispheres: Theory

RL, *Advances in Biomembranes and Lipid Selfassembly* Vol. 30, Ch. 3 (2019)

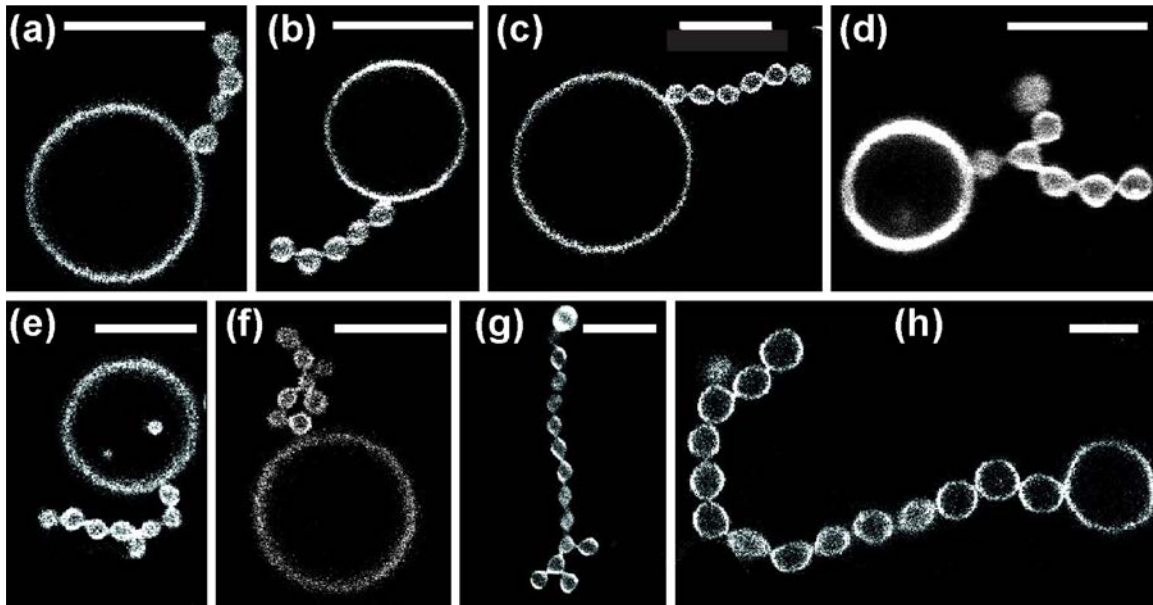
- Single membrane forms several spheres, with pairs of neighboring spheres connected by membrane necks:
- Only two possible radii
- Large spheres with radius  $R_l$
- Small spheres with radius  $R_s$
- $(N_l + N_s)$ -spheres
- Example:  $N_l + N_s \leq 4$
- Overlapping stability regimes



# Multispheres: Experiment

- $(1+N_s)$ -spheres, one large,  $N_s$  small sph:

Tripta Bhatia ... RL :  
*Soft Matter* (2020)



- Only two different radii,  $R_l$  and  $R_s$
- Each shape formed by single membrane
- $N_s$  membrane necks
- In general:  $(N_l + N_s)$ -spheres with  $N_l + N_s - 1$  necks
- Surprising mobility: linear  $\leftrightarrow$  branched chains
- Degenerate case:  $N_*$  equally sized spheres

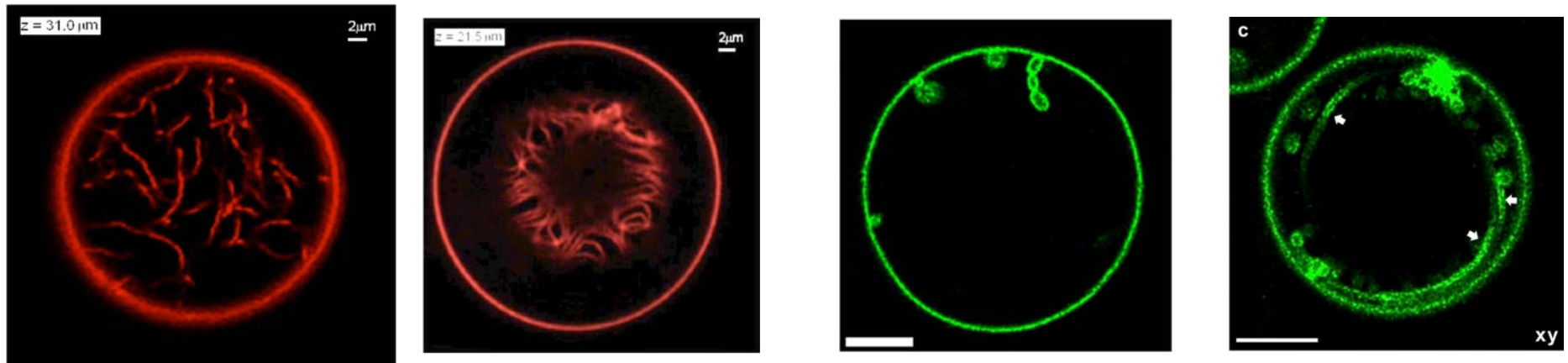
Ex: long chain with  $N_* = 39$



# Spontaneous Tubulation of GUVs

Liu ... RL, *ACS Nano* (2016)

- Lipid mixture of DOPC, DPPC, cholesterol
- Small amounts of fluorescently labeled lipids
- Liquid-disordered (red) and liquid-ordered phase (green)



- Spontaneous tube formation **without** external forces
- Complex patterns of nanotubes

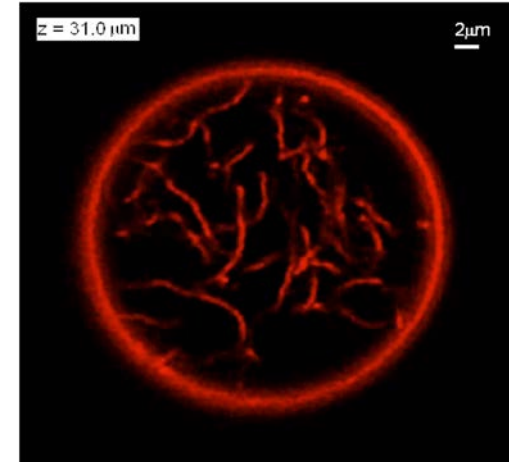


# Sp-Tubulation and Sp-Tension

RL, *Faraday Discuss.* (2013)

- Tubulation leads to tense mother vesicle
- Total tension in Euler-Lagrange equation has two components:

$$\hat{\Sigma} = \Sigma + \sigma$$



Mechanical tension  $\Sigma$  stretches the membrane

Spontaneous tension  $\sigma = 2 \kappa m^2$  for  $M \ll m$

- Presence of nanotubes implies dominance of spontaneous tension, mechanical tension can be ignored
- Example: Spont curvature  $\approx -1/(100 \text{ nm})$

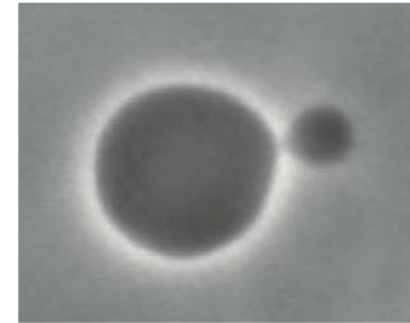
Spontaneous tension  $\sigma \approx 10^{-2} \text{ mN/m}$

Mechanical tension  $\Sigma \approx 10^{-4} \text{ mN/m}$

# How Do Nanotubes Form?

Liu et al, *ACS Nano* (2016)

- Tubulation intimately related to budding
- Osmotic deflation of spherical GUV
- Small deflation step leads to single bud
- Bud and mother vesicle connected by membrane neck
- Bud acts as nucleation site for necklace-like tube
- Several pathways for subsequent deflation steps:
  - Formation of new bud
  - Bud into 2-necklace
  - N-necklace into (N+1)-necklace

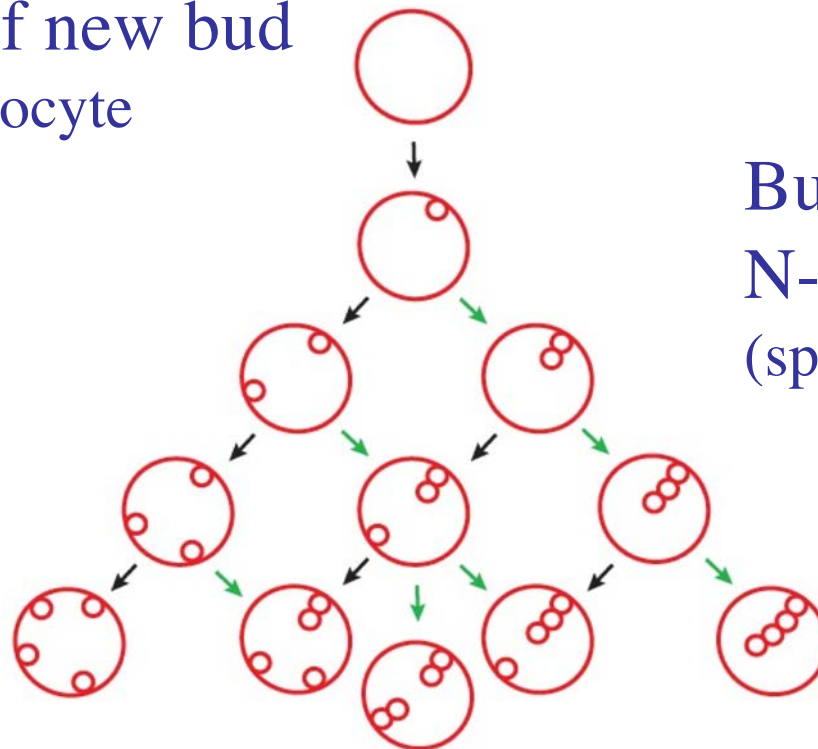


# Nucleation and Growth of Tubes

Liu et al, *ACS Nano* (2016)  
RL, *J. Phys. D* (2018)

- Spherical GUV, large spont curv  $m$
- Osmotic deflation of GUV in discrete steps
- At each step, different morphological pathways:

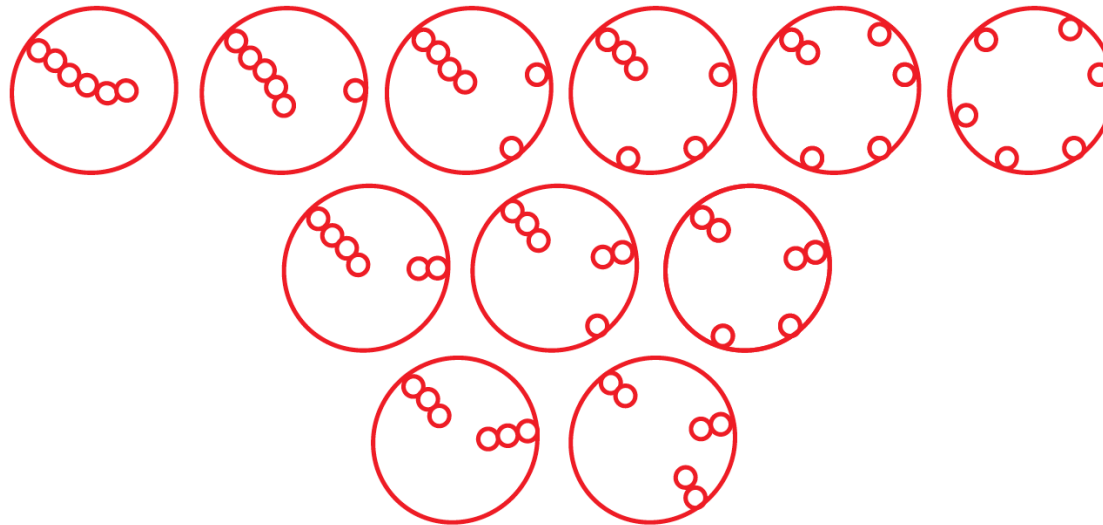
Formation of new bud  
(oblate-stomatocyte  
bifurcation)



Bud into 2-necklace  
N- into (N+1)-necklace  
(sphere-prolate bifurcation)

# Morphological Complexity

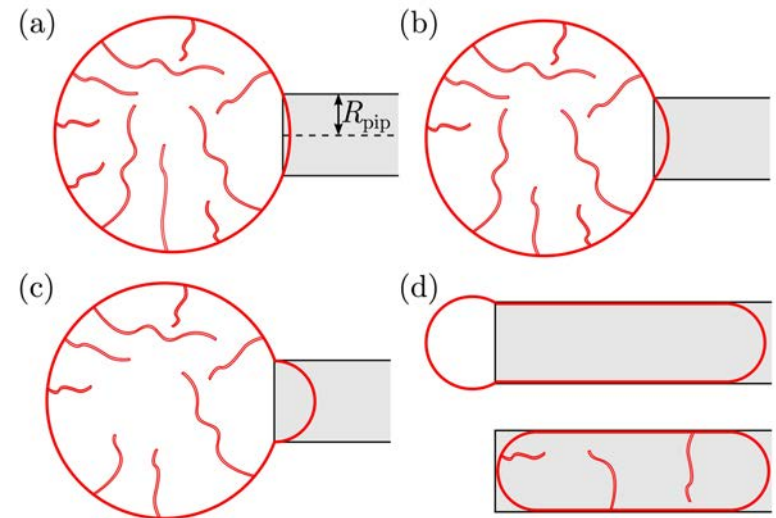
- After 6th step, 11 morphologies with 6 spherules:



- All beads are connected by membrane necks
- All morphologies have the same area, volume, and curvature energy
- Rugged energy landscape contains 11 intersecting branches
- For large  $N$ , number of  $N$ -spherule morph grows as  $\exp[c \sqrt{N}]$

# Robustness of tubulated GUVs

- Conventional GUVs: Membrane rupture under osmotic inflation, strong adhesion, micropipette aspiration, ...
- Membrane nanotubes provide area reservoir
- Tubulated GUVs have very low mechanical tension and do **not** rupture under strong mechanical perturbations
- Robustness demonstrated for inflation and aspiration
- Membrane tension dominated by sp-tension  $\sigma = 2 \kappa m^2$
- Mother vesicle behaves like liquid droplet with interfacial tension = sp-tension of membrane



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# Concept of 'Membrane Tension'

*RL, Adv. Colloid Interface Sci. (2014)*

- Membrane tension looks simple but is complex
- Membrane tension consists of several contributions:

Total tension = elastic stress  $\Sigma$  + sp-tension

- Elastic stress  $\Sigma$  can be viewed in two apparently distinct but nevertheless equivalent ways:

(i) Elastic stress  $\Sigma = K_A (A - A_0)/2$  to stretch membrane

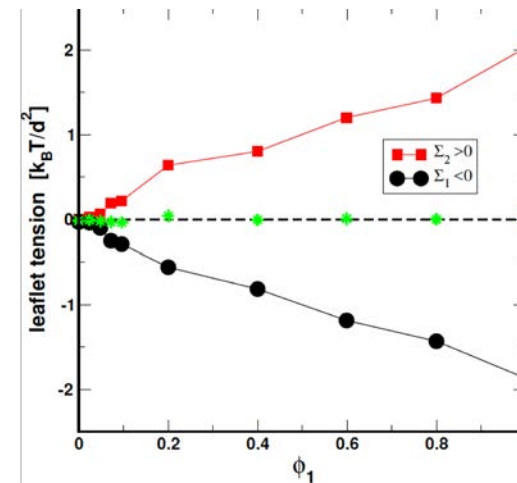
(ii) Lagrange multiplier  $\Sigma$  to enforce membrane area  $A$

- Elastic stress depends on GUV shape !
- Measurement of  $\Sigma$  changes  $\Sigma$  (as in Qiamti, M)
- New insights on  $\Sigma$  from molecular simulations

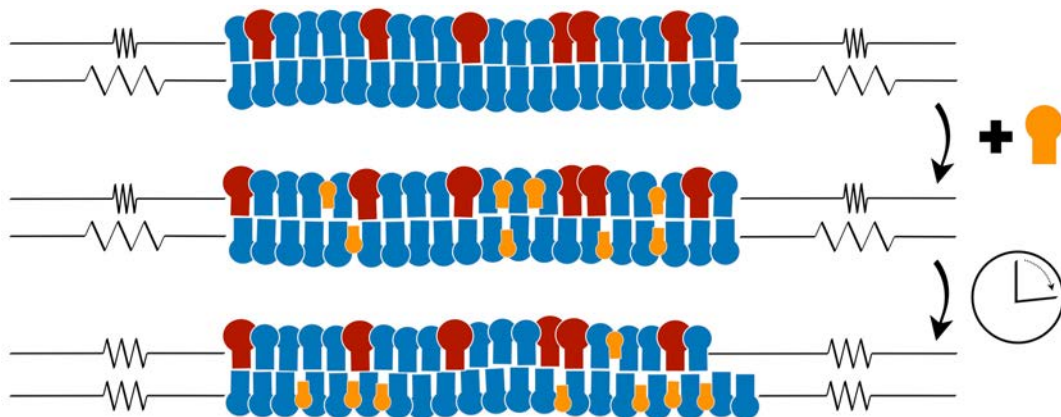
# Bilayer versus Leaflet Tensions

- Bilayer with two leaflets:
  - Two leaflet tensions  $\Sigma_1$  and  $\Sigma_2$  with bilayer tension  $\Sigma_1 + \Sigma_2 = \Sigma$
  - Tensionless bilayer:  $\Sigma = 0$
  - Leaflet tensions for binary mixture

A. Sreekumari, RL, *J. Chem. Phys.* (2018)



- Leaflet tensions and flip-flops:



M. Miettinen, RL, *Nanoletters* (2019)

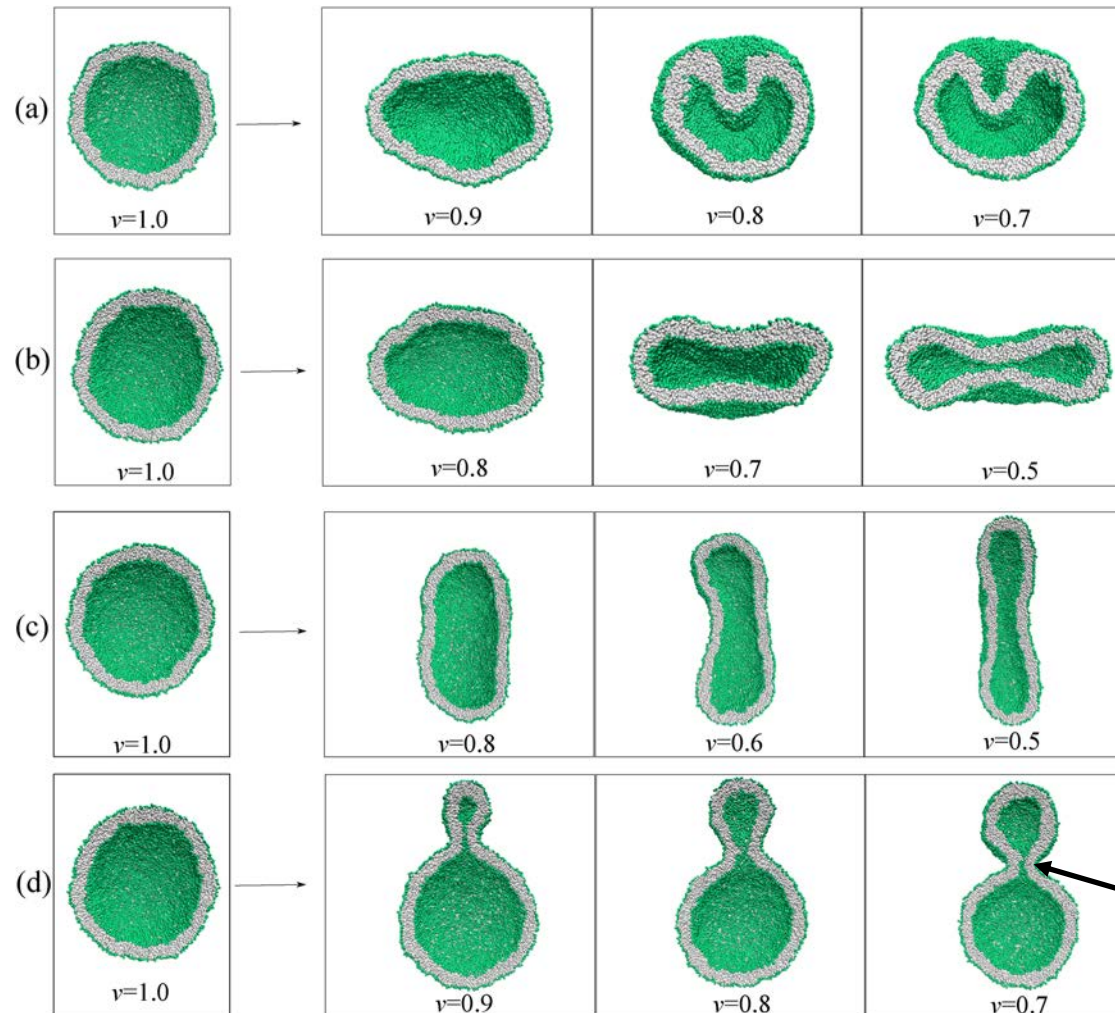
- Add cholesterol
- Flip-flops relax both leaflet tensions towards  $\Sigma_1 = \Sigma_2 = 0$



# Shapes of Nanovesicles

- Nanovesicle with diameter of 40 nm:

R. Ghosh ... RL,  
*Nano Letters* (2019)



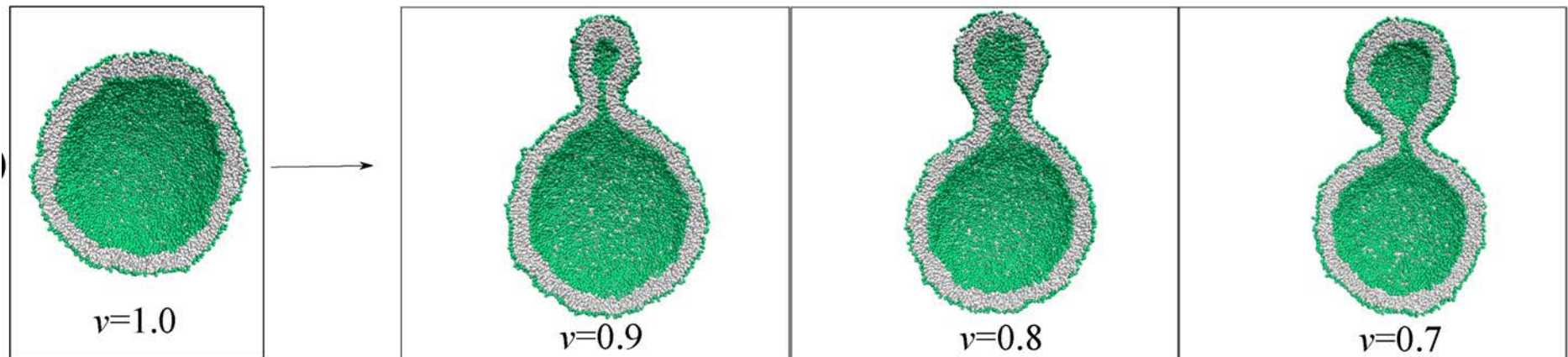
- Four spherical vesicles
- Same volume
- Same total # of lipids
- Reduction of volume:  
very different shapes
- Shape transformations  
caused by leaflet tensions

closed neck  
at the nanoscale

# Budding of Nanovesicles

R. Ghosh, V. Satarifard, A. Grafmüller, RL : Nano Letters (2019)

- Spherical nanovesicle with diameter of 40 nm
- Decreasing vesicle volume  $v$  , corresponding to deflation
- Formation of dumbbell with closed neck dumbbell:



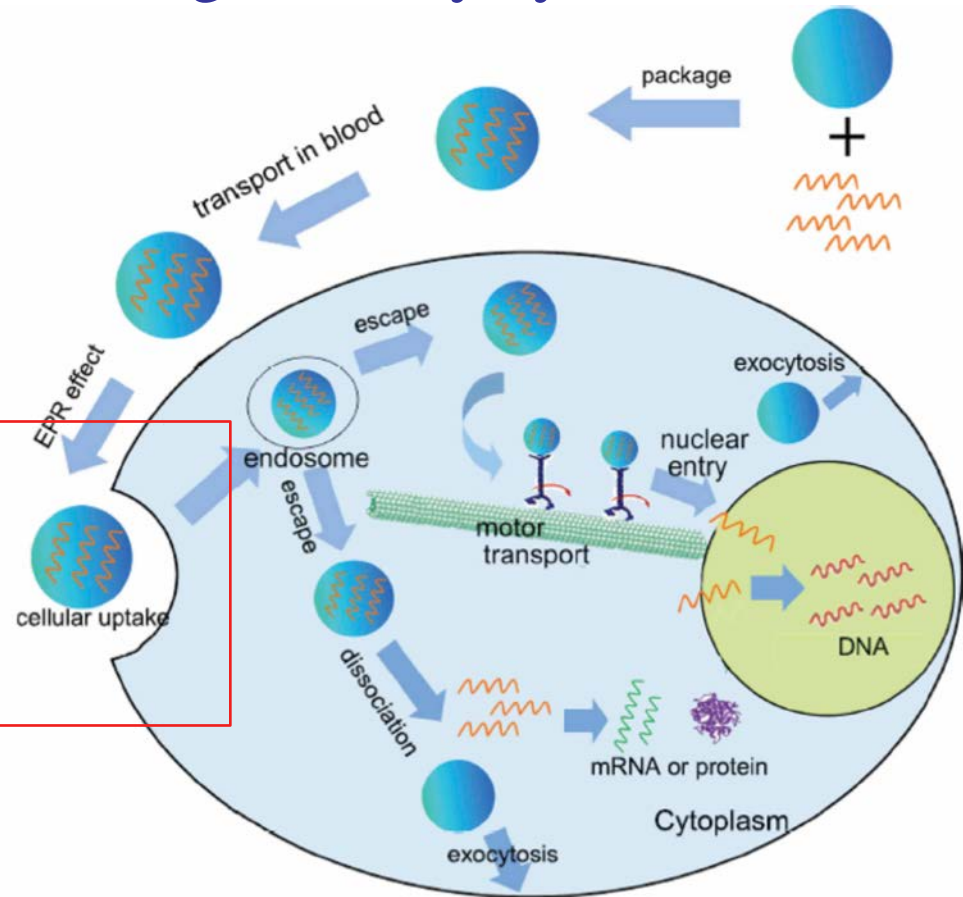
- Biomembranes and Giant Vesicles (GUVs)
- Spontaneous (Sp) Curvature
- Controlled Division of GUVs
- Constriction Forces from Sp-Curvature
- Multispherical Shapes of GUVs
- Spontaneous Tubulation
- Concept of Membrane Tension
- Outlook on Related Processes
  - Endocytosis of Nanoparticles
  - Wetting of Membranes
  - Shape Oscillations

# Targeting Nanoparticles to Cells

- Nanoparticles (NPs) as drug delivery systems:

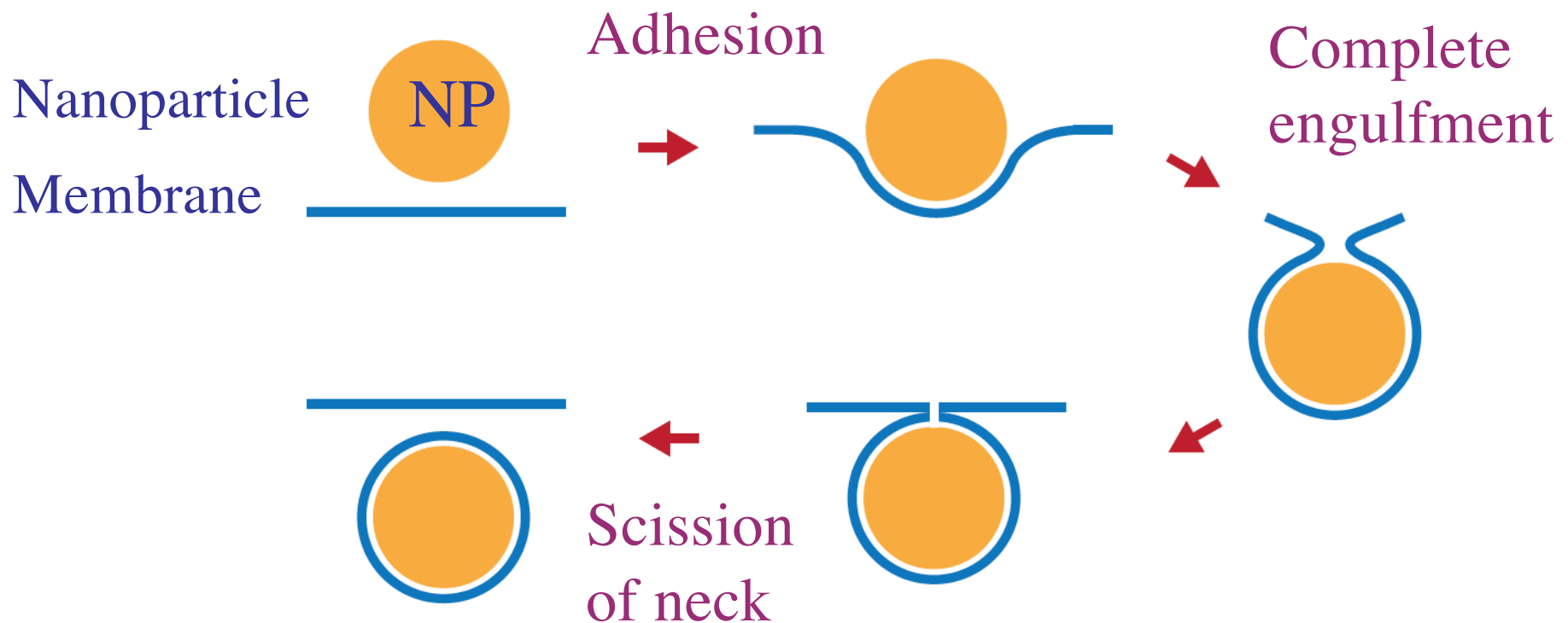
Transport of NPs towards cells

Transport across cell membrane by endocytosis



- Endocytic pathway also used by viruses, airborne ultrafine particles, ...

# Endocytosis of Nanoparticles



- Three steps: Adhesion, Complete Engulfment, Scission
- Interplay between sp-curvature and adhesion length

# Adhesive Length

- Adhesion free energy proportional to contact area
- Adhesive strength  $|W|$  = adhesion free energy per area
- Adhesive strength  $|W|$  reflects NP surface chemistry and membrane composition
- Competition between bending rigidity  $\kappa$  and  $|W|$  :

Adhesion length  $R_W = (2\kappa/|W|)^{1/2}$

- Adhesion length varies from 10 nm to several  $\mu\text{m}$

Agudo-Canalejo, RL: *ACS Nano* (2015)

# Onset of Adhesion: Local Criterion

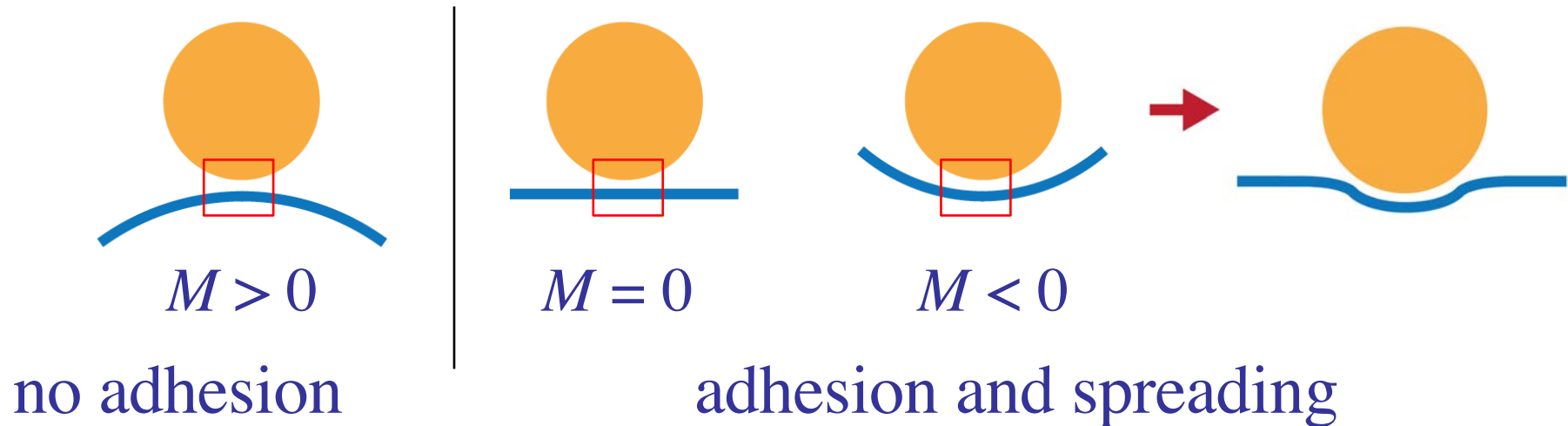
Agudo-Canalejo and RL, *ACS Nano + Nano Letters* (2015)

- Membrane starts to spread over particle if

$$M \leq M_{\text{co}} = 1/R_W - 1/R_{\text{pa}}$$

contact curvature  
 $M_{\text{co}}$  is threshold  
value for  $M$

- Example:  $M_{\text{co}} = 0$



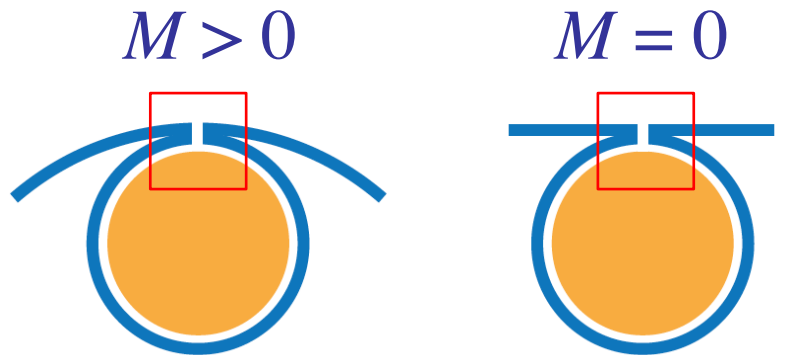
# Complete Engulfment: Local Criterion

- Closed membrane neck is stable if membrane curvature

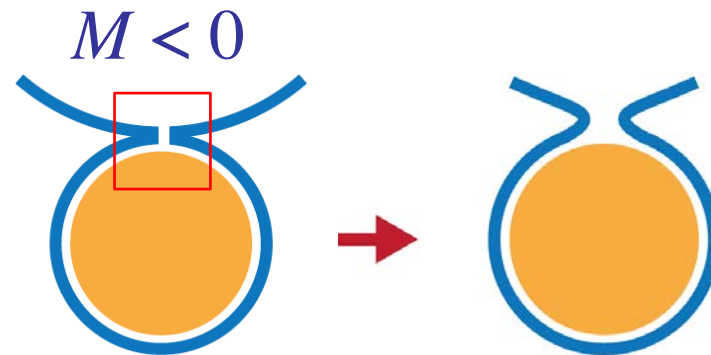
$$M \geq 2m - M_{co}$$

2nd threshold value for  $M$   
depends on **spont curvature**  $m$

- Example:  $2m - M_{co} = 0$



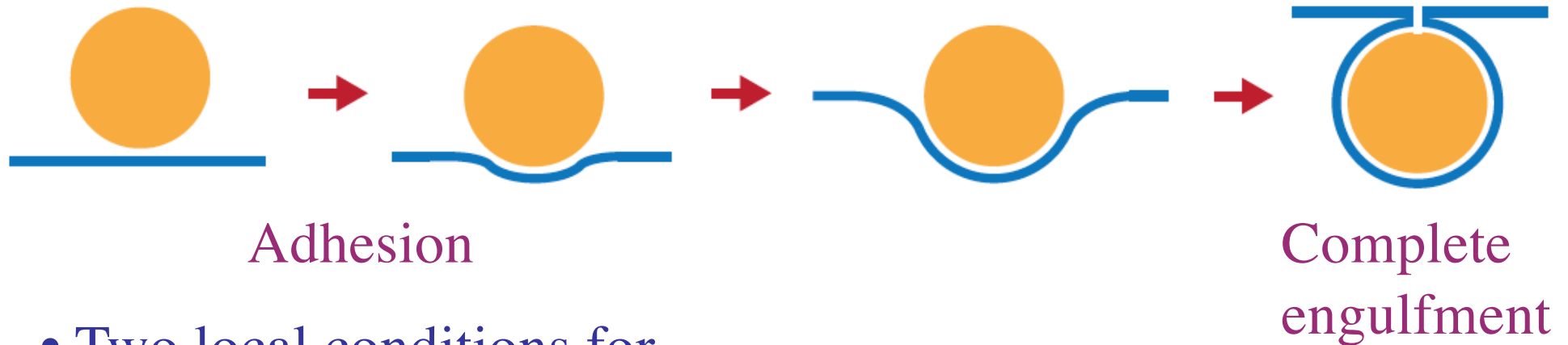
closed neck is stable



closed neck is unstable  
and opens up



# From Adhesion to Engulfment



- Two local conditions for onset of adhesion and stability of closed neck
- Combination of both local conditions:

$$2m - M_{co} \leq M \leq M_{co}$$

- Technical detail: Limit of small particle size  $R_{pa}$

Agudo-Canalejo and RL, *Soft Matter* (2017)

# Constriction Force from Adhesion

- Generalized neck curvature

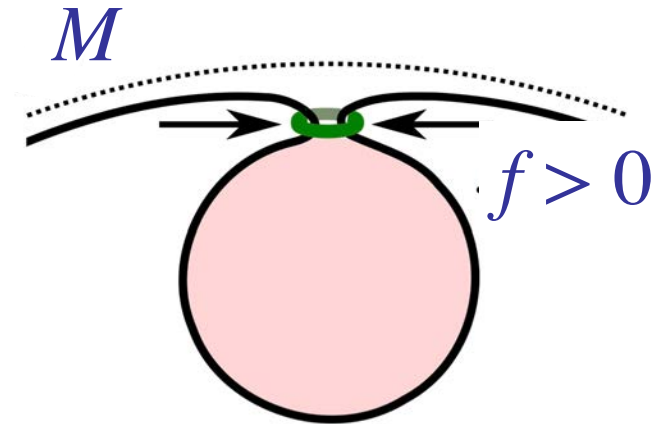
$$M_{\text{ne}} = (1/2) (M + M_{\text{co}})$$

- Contact mean curvature depends on adhesion length  $R_W = (2\kappa/|W|)^{1/2}$

- Constriction force

$$f = 8\pi \kappa ( M_{\text{ne}} - m )$$

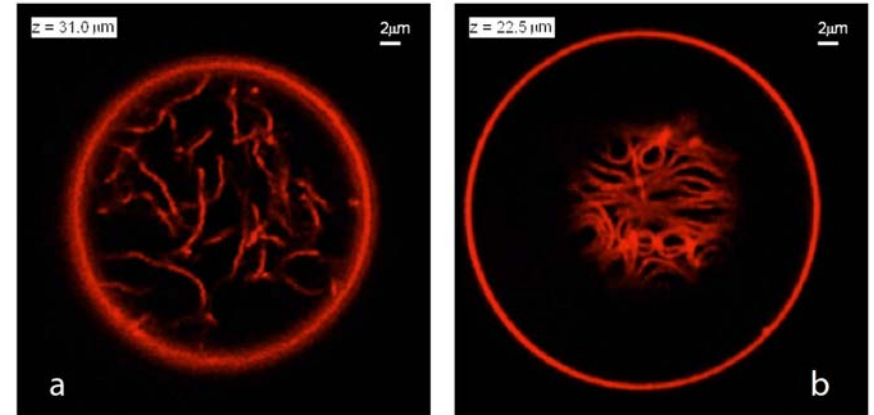
- Negative sp-curvature  $m < 0$  increases force
- Positive sp-curvature  $m > 0$  decreases force



# Wetting of Membranes

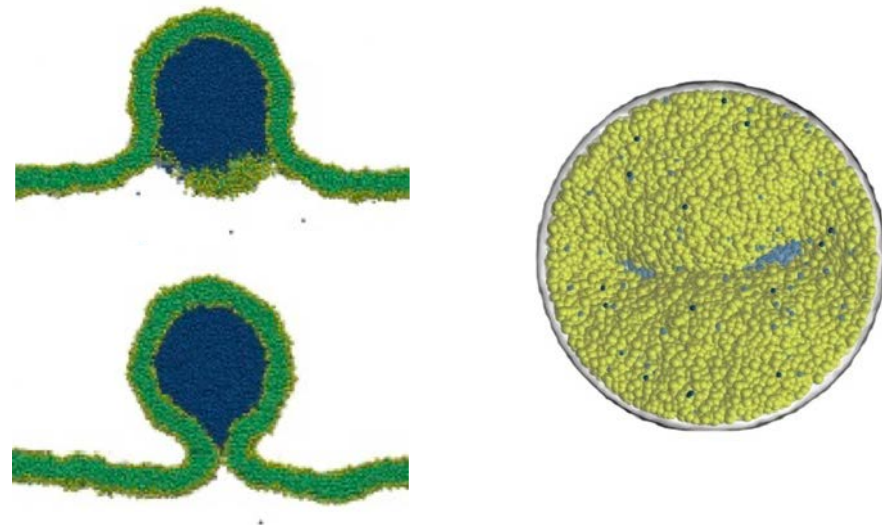
Liu et al, *ACS Nano* (2016)

- Aqueous phase separation inside GUVs
- Polymer solutions, PEG+dextran
- Complete and partial wetting of GUV membranes



Distinct patterns of nanotubes

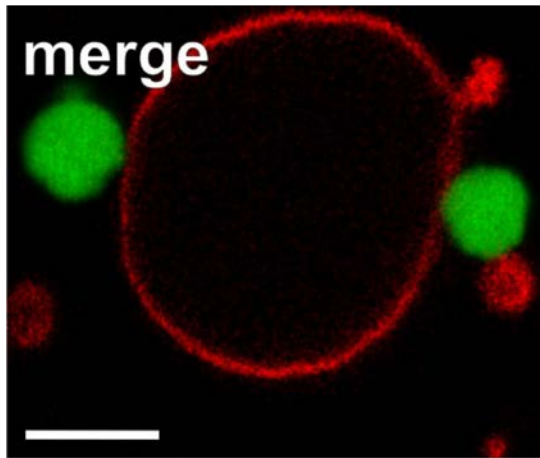
- Engulfment of nanodroplets
- Neck closure leads to tight-lipped neck:
- **Negative** line tension of contact line !



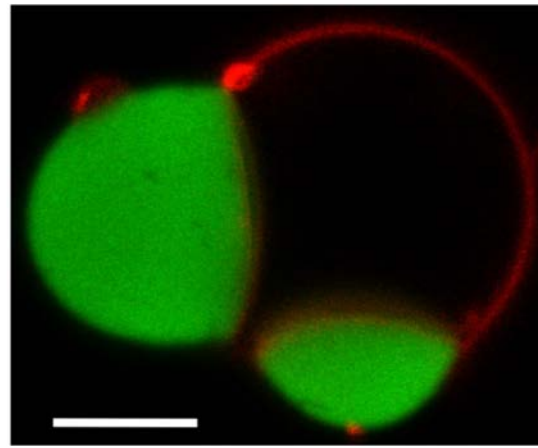
Satarifard et al, *ACS Nano* (2018)

# Biomolecular Condensates

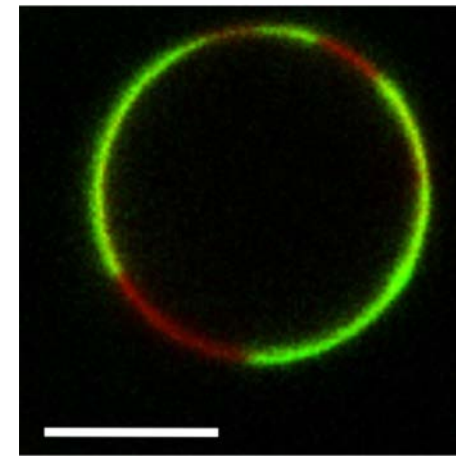
- Eukaryotic cells contain droplet-like compartments = membrane-less organelles = biomolecular condensates (BCs)
- Wetting and molding of membranes by BCs  
two subsequent wetting transitions:



dewetting for  
high salt



partial wetting for  
intermediate salt

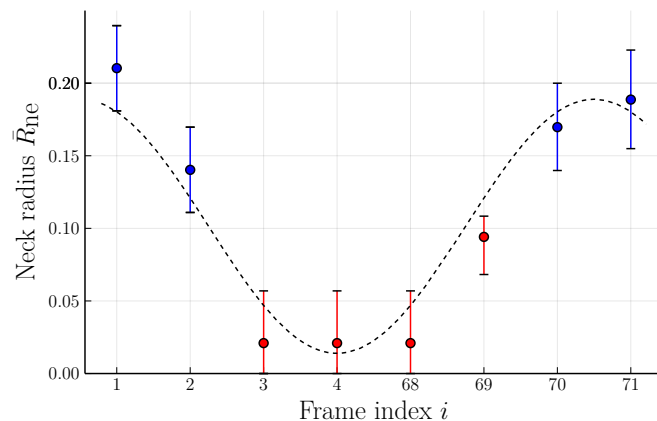
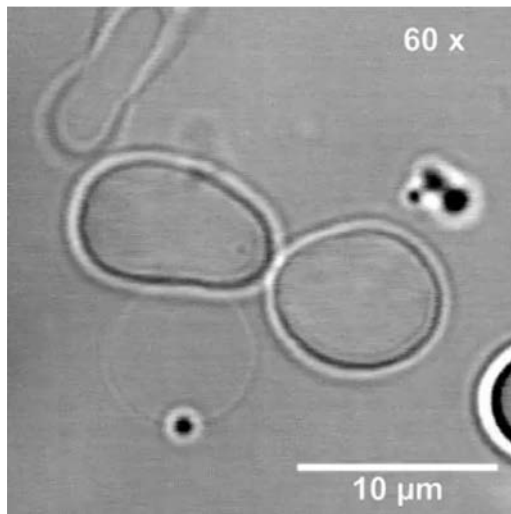


complete wetting  
for low salt

- Analogous processes in vacuoles of plant cells

# Shape Oscillations of GUVs

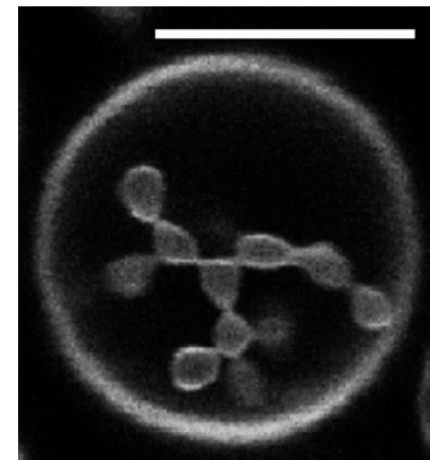
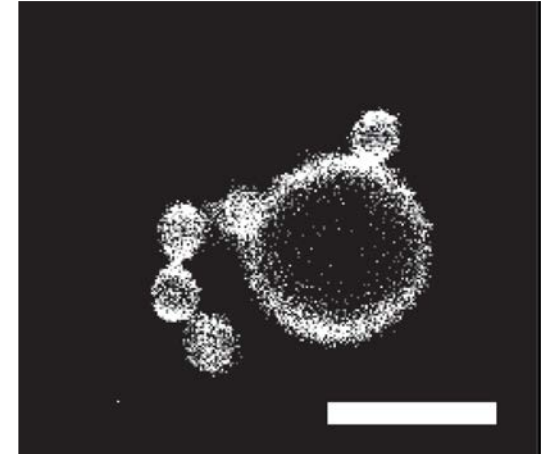
T. Litschel ... P. Schwille: *Angew. Chemie Int. Ed.* (2018)  
S. Christ, T. Litschel, P. Schwille, *RL*, submitted



- Shape oscillations generated by Min protein system coupled to ATP
- Oscillations imaged over 25 min
- 200 frames separated by 7.6 s
- 26 complete oscillations
- Two branches of dumbbells, symmetric and asymmetric ones
- Oscillations of bound Min proteins
- Oscillations of sp-curvature
- Oscillations of neck radius

# Outlook: Smart Compartments

- Positive sp-curvature: out-buds or out-tubes
  - Buds and tubes filled with drugs or agents
  - Division into many small compartments
  - Multiplication of delivery systems
- 
- Negative sp-curvature: in-buds or in-tubes
  - Storage and delivery of nanoparticles (NPs)
  - Uptake of NPs by in-tubes
  - Storage of NPs by neck closure
  - Release of NPs by neck opening



# Coworkers



Rumiana  
Dimova



Tripta  
Bhatia



Simon  
Christ



Rikhia  
Ghosh



Andrea  
Grafmüller



Markus  
Miettinen



Vahid  
Satarifard



Jan  
Steinkühler



Aparna  
Sreekumari



Ziliang  
Zhao

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Petra Schwille, Thomas Litschel