

## Electrochemical Detection of Single Microbeads Manipulated by Optical Tweezers in the Vicinity of Ultramicroelectrodes

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# Electrochemical Detection of Single Microbeads Manipulated by Optical Tweezers in the Vicinity of Ultramicroelectrodes

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**ABSTRACT**

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4 Latex micrometric beads are manipulated by optical tweezers in the vicinity of an  
5 ultramicroelectrode (UME). They are optically trapped in solution and approached to the electrode  
6 surface. After the electrochemical measurement, they are optically removed from the surface. The  
7 residence time of the particle on the electrode is thus controlled by the optical tweezers. The detection is  
8 based on diffusional hindrance by the insulating objects which alters the fluxes of the redox  $\text{Ru}(\text{NH}_3)_6^{3+}$   
9 species towards the UME and thus its mass-transfer limited current. We have optically deposited  
10 successively 1, 2 and 3 beads of 3- $\mu\text{m}$  radius on the UME surface and we have recorded the variations  
11 of the current depending on their landing locations that were optically controlled. Finally we decreased  
12 the current by partially blocking the electroactive surface with a 6-bead assembly. The variation of the  
13 steady-state current and the approach curves allow for the indirect electrochemical localization of the  
14 bead in the vicinity of the UME, not only when the bead is in contact but also when it is levitated at  
15 distances lower than the UME radius. These experiments show that single particles or more complex  
16 structures may be manipulated *in situ* in a contactless mode near the UME surface. From comparison  
17 with simulations, the electrochemical detection affords an indirect localization of the object in the UME  
18 environment. The developed approach offers a potential application for interrogating the  
19 electrochemical activity of single cells and nanoparticles.  
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## INTRODUCTION

Electrochemistry of nano- and microparticles is of high interest for detecting the presence, the size or the chemical signature of single objects. It is also a powerful approach to understand diffusion and reaction in the vicinity of individual objects or in confined volumes such as microbead agglomerates or nanoparticle opals.<sup>1-12</sup> Detection of single particle has thus been achieved using electrochemical techniques with both signal-on and signal-off approaches.<sup>13-21</sup> For example, Compton et al. have extensively studied the effect of inert particles blocking the surface of an electrode.<sup>22</sup> The authors positioned mechanically a bead of radius 125  $\mu\text{m}$  on an electrode of radius 59  $\mu\text{m}$  and studied its influence on cyclic voltammograms at different scan rates.<sup>23,24</sup> They were first able to extract the size of the particle from the voltammetric data. Thereafter, they even could monitor the motion of a moving particle across an electrode array.<sup>24,25</sup> Discrete adsorption events corresponding to the binding of individual inert micro- and nano-particles to the electrode have also been reported using amperometric detection.<sup>16,20</sup> The detection is here based on the blocking effects of diffusion of a redox probe induced by single beads approaching the electrode surface. Recently, Crooks and co-workers reported the fluorescence tracking of micrometric beads during their collisions with an ultramicroelectrode (UME).<sup>21</sup> Powerful methods for observing metallic nanoparticle collisions with the electrode surface have also been described based on the electrocatalytic amplification of the signal.<sup>14,15,17-19</sup> For instance, a Pt nanoparticle catalyzes proton reduction during its collision with a non-catalytic electrode surface and thus it leads to an enhancement of the current.<sup>15</sup> Similarly, electrogenerated chemiluminescence is enhanced when a Pt nanoparticle is in contact with an indium tin oxide (ITO) electrode and catalyzes the oxidation of the luminophore and of the co-reactant to finally generate the light emission.<sup>14</sup> However, the above cited reports exhibit an important limitation: the collision of the particles with the surface is a random process without precise control of the residence time or the rate of approaching the electrode. In this case, the frequency of the events is directly related to the concentration of the

1 nanoparticles in solution. Nevertheless, if the beads are positively or negatively charged, they may be  
2 attracted to the electrode surface by electromigration, depending on the applied potential.<sup>16,20,21</sup> For  
3 example, electrophoretic capture and detection of nanoparticles have been reported at nanopore  
4 electrodes.<sup>26,27</sup>

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10 If electrochemical detection of single micro/nano-objects provides the screening of their activity and  
11 size, analysis at high throughput requires efficient and controlled ways to trap these objects in an  
12 electrode environment. Magnetic field trapping is popular in bioanalytical sciences and biophysics;<sup>28</sup> it  
13 is however restricted to paramagnetic beads presenting sufficient susceptibility. Optical tweezers are  
14 flexible tools that allow the trapping of micro- and nanoscopic objects non-invasively.<sup>29-32</sup> As a further  
15 advantage, it also affords the straightforward 3D displacement and therefore controlled positioning of  
16 the objects. Numerous applications ranging from fundamental physics to the medical sciences have been  
17 extensively reported. Various versions have been developed using single- and double-beam setups.<sup>29,30</sup>  
18 More sophisticated geometries may also generate multiple simultaneous traps and this technique  
19 progressively becomes a standard optical tool. The basic principles are based on the radiation pressure  
20 of the light and gradient forces. A simple and powerful configuration to form an optical trap is to focus  
21 tightly a laser beam with an objective lens of high numerical aperture.<sup>31</sup> Small objects with diameter  
22 from 0.1  $\mu\text{m}$  to 20  $\mu\text{m}$ , such as particles, living cells or subcellular components are easily trapped and  
23 manipulated in 3D. Optical manipulation is a particularly elegant tool since it works in a contactless  
24 mode.

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45 In the present work, we used a single-beam optical tweezers and an optical levitation setup to  
46 manipulate latex microbeads in the vicinity of a transparent ITO UME (Figure 1). Liu et al. reported  
47 recently the electrochemical characterization of a bacterial cell trapped with optical tweezers.<sup>33</sup> They  
48 measured the direct electrical connection between the cell and the electrode. The focus of our work is to  
49 investigate the electrochemical effects of inert beads that are manipulated in the vicinity of an UME  
50 using optical tweezers and in this way to establish a calibration system for measurements on cells and  
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1 on nanoparticles. Latex microbeads were chosen as a model system because they are electrochemically  
2 inert, easy to trap optically and of same dimension as typical living cells. Optical tweezers were applied  
3 to position 1 to 6 microbeads near a static UME. Cyclic voltammetry was used to study the influence of  
4 the bead sizes, location, and packing on the steady-state amperometric UME response. The reported  
5 electrochemical configuration is related conceptually to the feedback mode of the Scanning  
6 Electrochemical Microscopy (SECM) where an UME is moved toward a substrate. The relative position  
7 of the substrate and its activity are obtained from its perturbation of the diffusional fluxes of a redox  
8 analyte to the UME surface. With the optical tweezers ensuring positioning control, the UME is static  
9 and inert objects are manipulated in its vicinity. Equivalently to SECM and as presented in Figure 1B,  
10 the insulating microbeads are expected to alter the diffusional fluxes of the electroactive species and  
11 thus the intensity of the electrochemical signal.<sup>1,9,22</sup> The diffusion hindrance afforded by a single bead or  
12 an assembly of beads is then analyzed electrochemically depending on their levitating or landing  
13 locations.  
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## 36 EXPERIMENTAL SECTION

### 37 Microfabrication of the transparent ITO UMEs.

38 The UME microfabrication (Figure S1) is a three main-step process which starts from 22 mm x 22 mm  
39 square borosilicate substrates, 175  $\mu\text{m}$  thick, that have been coated by a  $\sim 100$  nm ITO thin film of  
40 resistivity  $20 \pm 3 \Omega/\square$  (PGO). First, the semiconductor surface is buried under 300 nm of silica relying  
41 on plasma enhanced chemical vapor deposition in a ND200 reactor (Nextral) using a 6:7:1 mixture of  
42 He,  $\text{N}_2\text{O}$ , and  $\text{SiH}_4$  (total gas flow 140 sccm, pressure 640 mTorr, 13.56 MHz RF power 100 W, DC  
43 bias amplitude -55 V, and cathode temperature 280°C). Second, four corner-shaped gold marks are  
44 deposited using a standard lift-off protocol. The latter feature will facilitate the localization of the  
45 transparent and tiny electrode during bead micromanipulation under the optical microscope. Third, a  
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1 layer of AZ5214E (MicroChemicals) is patterned by photolithography to provide a hole 3, 6, or 12  $\mu\text{m}$   
2 in diameter. The ITO UME (see Figure 1 for the device general layout) is next obtained by removing the  
3 unprotected silicon oxide overlayer by reactive ion etching in a NE100 reactor (Nextral) using a 1:1  
4 mixture of  $\text{SF}_6$  and  $\text{CHF}_3$  (total gas flow 16 sccm, pressure 10 mTorr, 13.56 MHz RF power 15 W, DC  
5 bias amplitude -150 V, and cathode temperature 18°C). It concomitantly allows one to define a large  
6 connecting pad for electrical interfacing with a potentiostat. Noticeably, thanks to alignment features  
7 located on both optical masks, the gold marks and the circular hole can be perfectly centered with  
8 respect to each other. Eventually, the glass slide is immersed in an acetone ultrasonic bath to get rid of  
9 the resist, flushed with isopropyl alcohol, and dried under a nitrogen flow. Before use, the wafer is  
10 dipped in chromic-sulfuric acid (VWR International) for 8 min, rinsed in water, rinsed in isopropyl  
11 alcohol, and finally dried under nitrogen flow.  
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### 26 27 **Electrochemical cell**

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29 The transparent ITO UME itself constitutes the bottom of the electrochemical chamber. As it was  
30 designed to be transparent, it allows for both bead manipulation with a laser beam and observation of  
31 the sample from below by transmission optical microscopy. The body of the cell is composed of two  
32 pieces of PDMS stuck together following a plasma treatment prior to assembling with the electrode. The  
33 first layer, directly in contact with the silica of the electrode, is made of a thin film of PDMS in which a  
34 1 mm diameter circular hole was punched. A 1cm wide and 5mm deep PDMS rectangular well is stuck  
35 above the first one. The cell in this configuration is “open” (Figure S2); this is the type used in optical  
36 tweezers experiments. In laser levitation experiments, a top glass is added making the cell closed. The  
37 well is wide enough to position a platinum wire as counter-electrode and an Ag/AgCl (3M KCl)  
38 reference electrode, which together with the ITO working UME form a classical 3-electrode set-up.  
39 Cyclic voltammograms were recorded with a  $\mu$ -Autolab potentiostat (EcoChemie) in a solution  
40 containing  $\text{Ru}(\text{NH}_3)_6\text{Cl}_3$  and  $\text{Na}_2\text{SO}_4$  (Sigma-Aldrich).  
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## Optical tweezers setups

Two optical manipulation setups were used in this work: the first one with a weakly focused beam and a reflexion interference contrast method (RICM) to levitate and to locate the bead, the second one with a tightly focused beam for the static beads positioned on the UME surface.

In the levitation experiments, a green laser beam ( $\lambda_G = 514$  nm) propagates vertically through the cell, in upward direction ( $z$ ), and is centred on the UME. The beam is only weakly focused (beam-waist  $\omega_0 = 6$   $\mu\text{m}$ ). This setup is derived from the early design of Ashkin *et al.* for optical levitation of dielectric particles.<sup>34,35</sup> The configuration acts as a 2-dimensional trap, and allows lifting up the particle, provided that enough laser power is applied. It does not provide real trapping in vertical direction, but it suffices to bring the particle up to any given altitude ( $z > 0$ ). The distance  $z$  between the particle bottom and the UME is measured by means of an interference signal, following the principle of RICM.<sup>36</sup> The interference is built with an auxiliary probe laser beam (wavelength  $\lambda_R = 633$  nm). The red beam is coaxial to the levitation beam and directed downwards. (See Figures S3-S4 and also Supporting Information for a detailed description). In levitation experiments, a bead was initially captured along the laser beam axis, then lifted up to a finite  $z$ , and left to sediment back to the UME surface (see Supporting Information for details).

The second optical setup which was used for the static experiments was installed on an inverted microscope (Zeiss Axiovert 200M). The trap was designed to be operated in the single beam mode as depicted on Figure 1A (i.e. the common optical tweezers geometry).<sup>37</sup> Briefly, the optical trap is fed by a continuous-wave YAG laser ( $\lambda = 1064$  nm) through a high-numerical aperture (NA = 1.25) 63X immersion objective (Antiflex Plan Neofluar). The position of the beam inside the chamber can be adjusted horizontally ( $x, y$ ) by means of a couple of computer controlled galvano-scanning mirrors acting on the beam upstream of the microscope. An alternative method consists in keeping the beam fixed and moving the sample with a motorized ( $x, y$ ) stage. Along the optical axis, the distance between the beam focus and the cell bottom can be controlled as well, either through the microscope focus knob,



1 with a 50 nm resolution, or by means of an additional z-positioning stage attached to the (x, y) stage.  
2 Polystyrene beads (Polyscience) of different radii were injected in the transparent electrochemical cell  
3 containing the redox species. In the optical tweezers experiments, a bead was optically trapped close to  
4 the laser focus and then positioned on the surface of the UME. The laser was switched off prior to  
5 recording of the electrochemical signal.  
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### 11 Numerical simulation

12 Simulated electrode currents and electrogenerated redox probe concentration profiles were obtained  
13 by finite elements method with COMSOL 3.5 package (see details in Supporting Information).  
14 Computations were carried out in the 3D geometry. As sketched in Figure 1, the UMEs have a base  
15 radius  $r_e$  which is taken for the simulation procedure as unity ( $r_e = 1$ ); the bead has then a normalized  
16 radius  $R_b = r_b / r_e$ . To simulate optical tweezers experiments, the bead is contacted to the substrate  
17 surface and centered at a normalized projected distance from the UME center,  $L = d / r_e$ . For levitating  
18 bead, as in Figure 2, approaching the center of the UME in the vertical direction, the bead is centered at  
19 an altitude  $z_c = z + r_b$ , where  $z$  is the projected bead-UME separation distance. In dimensionless form,  
20 the bead is centered at the altitude,  $Z_c = z_c / r_e = Z + R_b$  with  $Z = z / r_e$ , the dimensionless bead-UME  
21 separation distance. The simulation consists of the numerical solution of the diffusion equation of the  
22 redox probe under the appropriate boundary conditions. The UME current,  $i_{el}$ , was evaluated from the  
23 concentration flux of the redox probe at the UME surface, where it is consumed, using the weak  
24 constraint procedure. The simulated curves relating the UME current,  $i_{el}$ , as a function of the projected  
25 bead center position ( $L, Z_c$ ) have been generated by steady-state simulation. To better appreciate the  
26 impact of redox probe diffusion hindrance toward the UME by the bead, the UME current is expressed,  
27 as in SECM, in a dimensionless form,  $I = i_{el} / i_{el,nb}$ , where the current is normalized by the electrode  
28 current at the same electrode in the absence of the bead,  $i_{el,nb}$  ( $I = 1$  in the absence of the bead).  
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56 The contact of the bead with the substrate surface causes some meshing problems and undefined  
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1 inverted geometric regions. To optimize the meshing procedure and avoid the presence of undefined  
2 regions and minimize calculation errors, the contact of the bead with a plane was defined by a small  
3 bead-surface separation distance  $Z = \varepsilon = 0.005$ . We confirmed in the more accurate 2D-axisymmetric  
4 simulation that for  $L = 0$  (bead and UME centered) the situation of pure bead-electrode contact was  
5 indistinguishable from that of a bead levitating at  $\varepsilon = 0.005$ , as the UME current variation between these  
6 two configurations was  $< 0.5\%$ . The 2D-axisymmetric representation of the centered bead-electrode ( $L$   
7 = 0) configuration was also used to optimize the meshing procedure in the 3D configuration so that the  
8 computation yielded the same UME current with  $< 1\%$  difference under both 2D-axisymmetric and 3D  
9 simulation modes. For  $L > 0$ , the simulations are performed in 3D with the meshing procedure  
10 optimized for  $L = 0$ .

11 The electrode may be recessed relatively to the plane of the insulating sheath of normalized thickness  
12  $H = h / r_e$ . The simulation then considers that the beads are either in contact with the insulating sheath  
13 for  $L > 1$  and its center is at the altitude  $Z = R_b + H + \varepsilon$  or in direct contact with the electrode for  $L < 1$   
14 and its center is at the altitude  $Z = R_b + \varepsilon$ . This situation also generates a region on the electrode that is  
15 forbidden by the bead.

## 16 RESULTS & DISCUSSION

17 The experimental set-up combines optical tweezers and a transparent UME. An ITO-coated glass  
18 cover slip is insulated by a thin silica layer which defines a disk-shaped UME of radius  $r_e$  (Figure 1).  
19 The thickness of the insulating silica layer defining the disk UME is 300 nm and therefore the  
20 microfabricated UMEs are slightly recessed and this was considered in the simulation procedures. The  
21 beads are here non-electroactive contrary to experiments reported by Aoki et al. with polyaniline-coated  
22 latex particles.<sup>8</sup>

### Levitating beads above UME

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3 A latex bead of radius  $r_b$  is picked up and trapped on the axis of a weakly focused laser beam through  
4 an objective lens. Argon ion and He-Ne lasers are used for levitation of the bead and for probing its  
5 position, respectively. The Argon ion laser acts as a 2-dimensional trap, and allows lifting up the  
6 particle. If the laser power is decreased, the particle gently moves down towards the UME at a  
7 controlled speed, under the action of its own weight (sedimentation) and of the radiation pressure. The  
8 distance  $z$  between the particle bottom and the UME is measured by means of an interference signal  
9 with the He-Ne laser, following the principle of RICM.<sup>36</sup> So both the position and the approach speed of  
10 the bead are optically controlled and determined.  
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14 In a configuration similar to the SECM, the UME mass-transfer limited current is measured while the  
15 bead is approached toward the UME center. The UME current is then expected to decrease when the  
16 bead approaches the UME as a result of the penetration of the bead in the diffusion field of the UME.  
17 The maximum current decrease is expected when the bead contacts the UME. Two examples of such  
18 approach curves are presented in Figure 2 when a bead with a 7.5  $\mu\text{m}$  radius is approached to the center  
19 of UMEs of 15 and 25  $\mu\text{m}$  radii, respectively. This was obtained by approaching the bead at known  
20 approach rates decreasing from  $v_b = 5 \mu\text{m/s}$  to  $0.01 \mu\text{m/s}$  with decreased bead-UME vertical separation  
21 distances,  $z$ . During the bead approach, the evolution of the UME current with  $z$  is recorded. The  
22 experimental approach curves are then compared to the simulated ones (solid lines in Figure 2). The  
23 comparison is provided in dimensionless form, from the adjustment of the UME current (normalized by  
24 the current in the absence of bead). The agreement between experiments and simulations is rather good  
25 despite the small UME current variations. Owing to the small size of the bead compared to the UME  
26 dimension, the bead impact on the UME diffusion field is weak. The maximum decrease in the UME  
27 current detected experimentally is respectively 2.2% and 5.6% (with confidence higher than 0.5%) for  
28 the 25 and 15  $\mu\text{m}$  radii UMEs, respectively. The current variation is small but the continuous current  
29 measurement by chronoamperometry ensures high confidence with small standard deviations. The  
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1 approach curves are performed within less than 8 s and the smallest 2.2% current decrease is too high to  
2 be assigned to long-term current drift. Clearly, the detected variations even though small are  
3 significantly evidencing the intrusion of the bead within the UME diffusion field. The accuracy is  
4 sufficiently evidencing the intrusion of the bead within the UME diffusion field. The accuracy is  
5 sufficient from such an approach to evaluate the indirect electrochemical localization of the bead in the  
6 vicinity of the UME, not only when the bead is in contact but also when it is levitating close to the  
7 UME. Note that even though the considered beads are smaller than the UME ( $r_b = 0.3 \times r_e$  or  $0.5 \times r_e$ ,  
8 respectively) they can be efficiently detected when they are levitating at distances lower than the UME  
9 radius ( $z < r_e$ ).  
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19 The good agreement between experiments and simulation suggests that the contributions of  
20 convective mass-transfer from both the approach speed<sup>38-40</sup> and the possible local heating of the solution  
21 from the optical tweezers are negligible (see section 1 of Supporting information for a detailed  
22 discussion on both points). The contribution of convective mass transfer to the UME current is indeed  
23 small at low Péclet number ( $Pe = v_b r_e / D$  with  $D$  the diffusion coefficient of the redox probe). We  
24 estimate  $Pe < 0.1$  for most of the configurations investigated here (see Supporting information),  
25 indicating that the electrochemical signal is recorded in conditions of quasi-static regime.  
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### 38 Static beads

39 The optical tweezers setup uses an infrared laser, tightly focused through a high N.A. objective. The  
40 experiment starts by the optical trapping of a latex bead which is then positioned precisely on the  
41 surface of the glass slide at a center-to-center distance  $d$  of the UME (Figure 1B). To avoid local heating  
42 and uncontrolled convection, all further voltammetric experiments were recorded with the laser  
43 switched off.  
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51 The position of the bead is determined using the images acquired with the microscope. Figure 3A shows  
52 microscopy images of a bare UME ( $r_e = 6 \mu\text{m}$ ). A bead of radius  $r_b = 7.5 \mu\text{m}$  was then optically trapped  
53 and positioned on the center of the same UME (Figure 3B). The dashed circle indicates the position of  
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1 the UME under the bead. Cyclic voltammograms for the reduction of  $\text{Ru}(\text{NH}_3)_6^{3+}$  were recorded at a  
2 scan rate of  $10 \text{ mV}\cdot\text{s}^{-1}$ . Figure 3E shows the experimental (solid lines) and the simulated (dashed lines)  
3 voltammograms. The bare UME displays a sigmoidal-shaped voltammogram which is characteristic of  
4 hemispherical diffusion (Figure 3C). The current intensity is in perfect agreement with the theoretical  
5 value for a slightly-recessed disk UME (Figure 3E). When the bead is positioned at the center of the  
6 UME ( $d = 0$ , Figure 3B), the shape of the voltammetric signal remains the same but the current is  
7 diminished from  $-7.7 \text{ nA}$  to  $-5.6 \text{ nA}$ . Indeed, as confirmed by computation of the  $\text{Ru}(\text{NH}_3)_6^{3+}$   
8 concentration profiles (Figure 3C-D), the presence of the bead in the vicinity of the UME partially  
9 blocks the depletion of the redox  $\text{Ru}(\text{NH}_3)_6^{3+}$  species. The corresponding diffusional hindrance results in  
10 the decrease of the reduction current compared to the bare surface (Figure 3E) in good agreement with  
11 numerical simulation.  
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26 Incidentally, after the electrochemical measurements, the particle was removed from the UME using  
27 again the optical tweezers. Therefore one may tune the residence time of the bead on the UME. As  
28 expected, the current then raised back to its initial value (*i.e.* bare UME). One could argue that such a  
29 decrease of 27% is not so important if we consider the size of the electrode and of the blocking object.  
30 In fact, it illustrates a fundamental characteristic of the microelectrode for which hemispherical  
31 diffusion is predominant compared to linear one. As demonstrated in Figure 3D, even though the  
32 presence of the bead strongly perturbs the concentration field (see the isoconcentration  $> 85\%$  which  
33 intersect the bead surface – blue line), at longer distance from the bead, the concentration profile  
34 remains hemispherical (see the 95% isoconcentration curve – dark blue line). Finally, the presence of  
35 the bead constrains the diffusion field of the UME to a smaller volume. Indeed, in the absence of the  
36 bead, the action of the UME (more than 5% consumption of the redox probe, the farthest  
37 isoconcentration line from the UME in Figure 3C – dark blue line) is located at a hemispherical surface  
38 of radius 10 times the UME radius. Meanwhile, in the presence of the bead, the same UME action is  
39 manifested in a smaller hemisphere of radius 7 times the UME radius (the farthest dark blue  
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1 isoconcentration line in Figure 3D). As proposed earlier,<sup>1</sup> the effect of the bead on the electrode current  
2 consists mainly in the decrease in the void volume within the diffusion field of the UME. This is  
3 confirmed in the simulated results of Figure S9 which addresses the effect of the radius of a bead  
4 centered on the UME on the current.  
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9 The above results also suggest that the extent of diffusion hindrance and the UME current will be  
10 sensitive to the localization of the bead on the UME (see Figure S9 for simulated current values and  
11 Figure S10 for simulated concentration profiles). Particularly, preferential disturbance of edge diffusion  
12 vs. diffusion to the center can be expected (see Figure S8 for simulated current values or Figure S10 for  
13 simulated concentration profiles). Indeed, as demonstrated in Figure S9B, when a bead smaller than the  
14 UME ( $r_b < r_e$ ) is positioned close to the edge of the UME, it is predicted to have actually a higher  
15 blocking effect than when it is positioned at the UME center. For larger beads, such edge effect does not  
16 manifest anymore and the blocking effect of the bead increases continuously as the bead is approached  
17 toward the electrode center. The effect on the voltammetric signal of the projected center-to-center  
18 distance,  $d$ , between the sphere and the UME was then studied experimentally. The bead was first  
19 positioned at  $d = 16 \mu\text{m}$  (Figure S6A) and then moved progressively with the optical tweezers closer to  
20 the center of the UME at  $d = 7.5 \mu\text{m}$  (Figure S6B). Finally, it was brought at the UME center. At each  
21 position, cyclic voltammograms were recorded. Figure S6C shows the comparison of the  
22 electrochemical responses for the naked UME (blue line) and for increasing distance  $d =$  (i) 0, (ii) 7.5  
23 and (iii) 16  $\mu\text{m}$ . When the bead of radius 6  $\mu\text{m}$  is located at  $d = 16 \mu\text{m}$  from the UME, the current is  
24 slightly decreased compared to the bead-free situation. As the parameter  $d$  decreases, the influence of  
25 the bead hindrance becomes more important on the diffusion layer and it is clearly visible on the  
26 electrochemical signal. Hence, as expected, the steady-state current decreases progressively in  
27 correlation with the diffusional shielding effect of the bead.  
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54 Figure S7 shows the influence of the bead size on the steady-state current for a constant projected  
55 center-to-center distance  $d = 3.4 \mu\text{m}$ . The reduction current decreases when the size of the beads  
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1 increases. Indeed, as already mentioned, the natural inference is that the mass transfer of  $\text{Ru}(\text{NH}_3)_6^{3+}$  to  
2 the electrode surface is more blocked by the intrusion of a more voluminous object (higher decrease of  
3 the void diffusion volume) in the diffusion field of the UME. As discussed in Figure 3D, the simulated  
4 the void diffusion volume) in the diffusion field of the UME. As discussed in Figure 3D, the simulated  
5 concentration profiles presented in Figure S10 show how the intrusion of a bead in the vicinity of the  
6 UME constrains its diffusion field to a smaller volume. Figure 4A shows a comparison of the  
7 normalized current for different bead-electrode distances and for different values of  $r_b$  and  $r_e$ . Using  
8 such plots, the position of a bead of given size can be extracted from the current intensity. It  
9 demonstrates that a bead can be detected electrochemically by an UME even when it does not hit the  
10 UME surface but when it enters its diffusion field and deforms it sufficiently to alter the UME current.  
11 If the maximal current decrease is observed for beads hitting directly the electroactive region of the  
12 UME ( $d < r_e$ ), the precise localization of the bead on this region is difficult as the current value does not  
13 change significantly for  $d < r_e$ . Conversely, for  $d > r_e$  even though lower current decrease is observed,  
14 the current is more sensitive to the bead-UME center-to-center distance allowing for a more precise  
15 localization of the bead. If one allows a detectable 3% variation of the UME current, beads of dimension  
16 comparable to the sensing UME can be detected when they are at distances  $d < 0.7 r_e + 1.4 r_b$ . Finally,  
17 Figure 4B nicely illustrates the good agreement between experimental data and the numerical modeling.  
18 It demonstrates that the efficient localization of beads in the vicinity of an UME can be achieved from  
19 their electrochemical signature.

### Microbead assemblies

20 The electrochemical localization of a bead in the diffusion field of the UME is based on the  
21 occupancy of the diffusion field volume of the UME by the bead. Such a principle may then also be  
22 used to detect the successive arrival of other particles and their assembly in the vicinity of the UME.  
23 Optical tweezers allow one to manipulate particles with 50-nm precision. Therefore, we positioned  
24 successively single particles on the UME surface and recorded the corresponding steady-state currents.

1 When a first 3- $\mu\text{m}$  radius bead is placed on the 6- $\mu\text{m}$  radius UME surface (Figure 5B), the current  
2 decreases by 7 % from  $-9.7$  nA to  $-9$  nA (Figure 5E). Then, a second bead is optically deposited on the  
3 UME (Figure 5C) also blocking the mass transfer. In this case, the current decreases by 10.6 % from its  
4 initial value (i.e. bare UME). The experimental data are in excellent agreement with the numerical  
5 calculation. A third bead is approached with the optical tweezers but it has almost no effect on the  
6 current even though simulations predict an additional drop of 5 % for the current. This discrepancy is  
7 mainly related to the position of the bead. Indeed, a close inspection of Figure 5D shows that this third  
8 bead is slightly out of focus with a brighter ring around it. This implies that the particle was not located  
9 directly on the electrode surface but a few microns above. In fact, during its approach, the bead adhered  
10 to the other ones presumably by Van der Waals interactions and therefore its blocking effect was  
11 negligible due to its upper position. We finally approached a fourth bead further from the electrode  
12 (Figure 5D) and it did not affect the current, as expected for such a distance. In another kind of  
13 experiments, we trapped bead assemblies that were present in solution. Indeed, such aggregates easily  
14 form in solution containing supporting electrolytes because of electrostatic screening. Figure 6A  
15 displays the UME which was partially blocked by a 6-bead ensemble. As in previous experiments, the  
16 steady-state current is decreased due to diffusional hindrance of the beads (Figure 6B). These  
17 experiments show that single particles or more complex structures may be manipulated in a contactless  
18 mode near the UME surface.

## 44 CONCLUSION

45 We have shown that optical tweezers allow 3D manipulation of single levitating or static bead near  
46 UME. The steady-state current is thus modulated due to the diffusional hindrance of the non-conductive  
47 particle, allowing 3D localization of the bead in the vicinity of the UME. The residence time of the bead  
48 on the electrode surface is easily controlled by this contactless approach based on optical forces.  
49 Moreover, the electrode surface can be blocked by more complex structures such as ordered  
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1 arrangements of several beads and we studied their effects on the voltammetric signals. Since optical  
2 tweezers may trap living or smaller objects, this approach could be extended to electrochemical analysis  
3 of single nanoparticles and living cells, even if issues related to convection should not be  
4 underestimated.  
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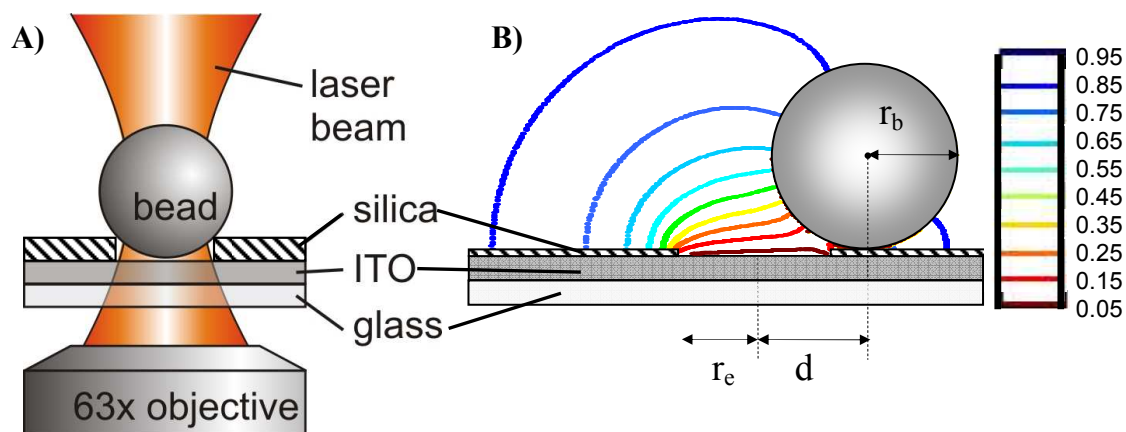


Figure 1. A) Experimental set-up showing the ITO UME and the latex bead trapped with a focused laser beam. The sketch is not to scale. B) Schematic (side-view) representation of the bead of radius  $r_b$  positioned at a projected center-to-center distance  $d$  of the disk UME of radius  $r_e$ . The bead is positioned on the insulating silica layer. The lines correspond to isoconcentration profiles in the vertical symmetry plane passing through the center of the bead and the center of the UME. At infinite distance from the electrode (bulk), the redox probe concentration is  $C_0 = 1$  and it is consumed at the electrode ( $C_e = 0$ ). The presented profiles are obtained for  $r_e = 6 \mu\text{m}$ ,  $r_b = 7.5 \mu\text{m}$  and  $d = 9 \mu\text{m}$ .

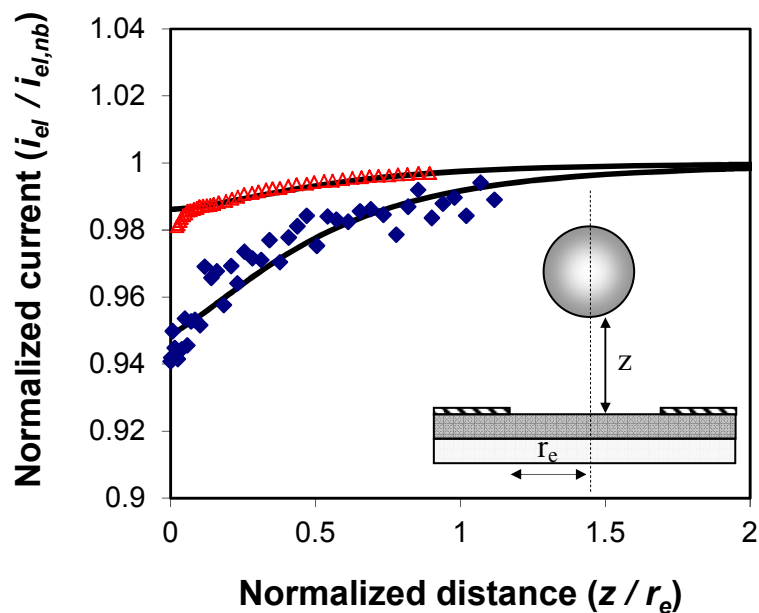


Figure 2. Approach curves of a levitating bead ( $r_b = 7.5 \mu\text{m}$ ) to the center of a ( $\blacklozenge$ ) 15 or a ( $\blacktriangle$ ) 25  $\mu\text{m}$  radius UME ( $d = 0$ ). Comparison of experimental (symbols) and simulated (solid lines) values of the UME current ( $i_{el}$ ) normalized by the current in the absence of bead ( $i_{el,nb}$ ) as a function of the projected bead-UME separation distance,  $z$ , normalized by the UME radius,  $r_e$ . UME currents were recorded in a solution containing  $5 \times 10^{-3} \text{ M Ru}(\text{NH}_3)_6^{3+}$  and  $0.1 \text{ M Na}_2\text{SO}_4$  as supporting electrolyte.

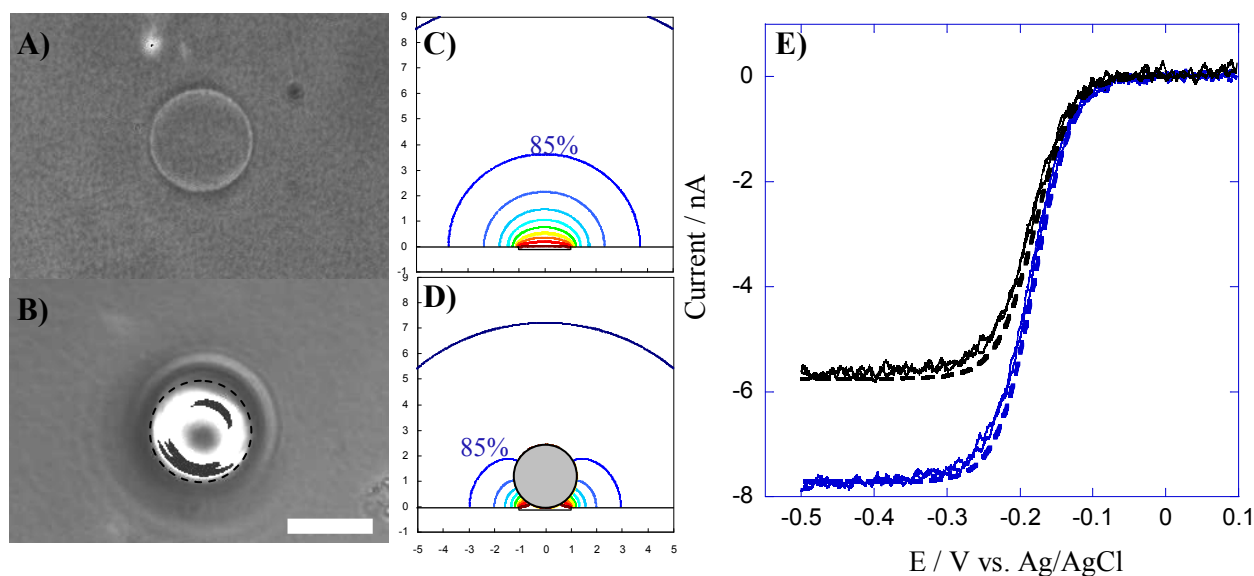


Figure 3. A,B) Top-view images of an UME of radius  $r_e = 6 \mu\text{m}$  without (A) or with (B) a bead of radius  $r_b = 7.5 \mu\text{m}$  which has been optically deposited on its center ( $d = 0$ ). The dashed circle indicates the position of the electrode under the bead. Scale bar:  $10 \mu\text{m}$ . Side-views of the simulated concentration profiles passing by the center of the UME (C) in the absence and (D) in the presence of the bead. The lines correspond to isoconcentration profiles with the same color scale as in Figure 1. E) Corresponding cyclic voltammograms for the electrode without (blue line) or with (black line) the bead positioned over its center. Cyclic voltammograms were recorded at a scan rate of  $10 \text{ mV}\cdot\text{s}^{-1}$  in a solution containing  $5 \times 10^{-3} \text{ M Ru}(\text{NH}_3)_6^{3+}$  and  $0.1 \text{ M Na}_2\text{SO}_4$  as supporting electrolyte. Dashed lines represent simulated voltammograms.

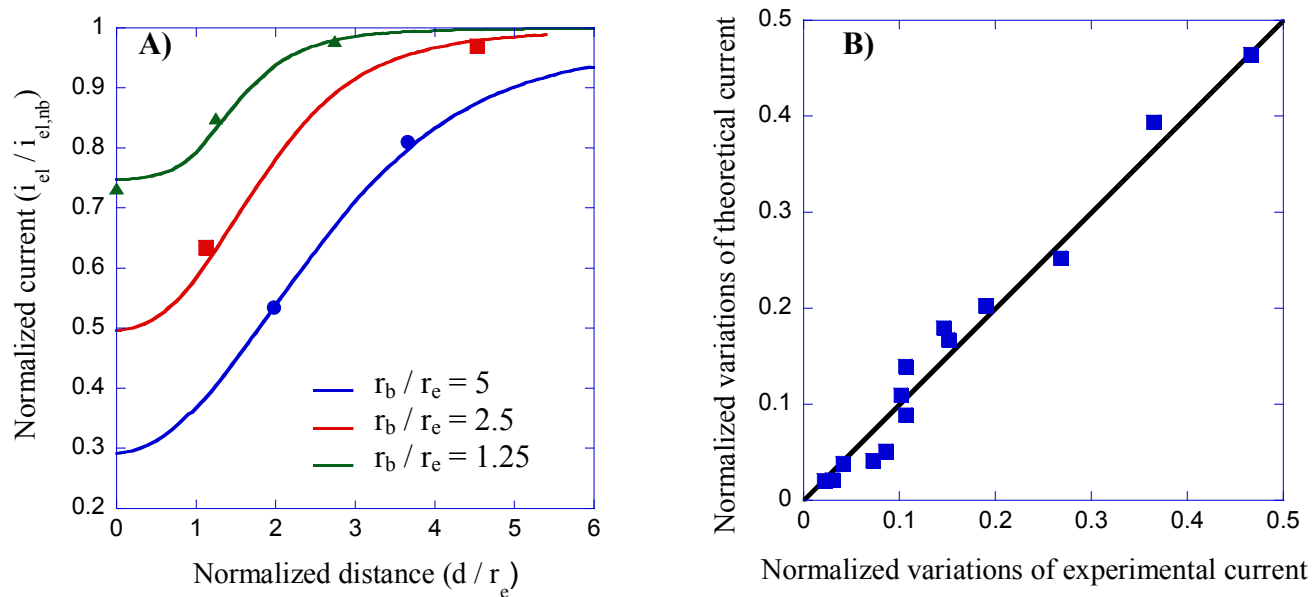


Figure 4. A) Comparison between the experimental points and the theoretical curves (solid lines) for the variations of the normalized stationary current for beads of radius  $r_b = 7.5 \mu\text{m}$  on UME of various radii  $r_e$  as a function of the projected normalized center-to-center distance  $d / r_e$ . Plotted experimental points correspond to  $r_e = 1.5 \mu\text{m}$  ( $r_b / r_e = 5$ , blue disks),  $r_e = 3 \mu\text{m}$  ( $r_b / r_e = 2.5$ , red squares) and  $r_e = 6 \mu\text{m}$  ( $r_b / r_e = 1.25$ , green triangles). B) Correlation between experimental (blue squares) and theoretical (solid line) current variations for various values of the parameters  $r_b$ ,  $r_e$  and  $d$ .

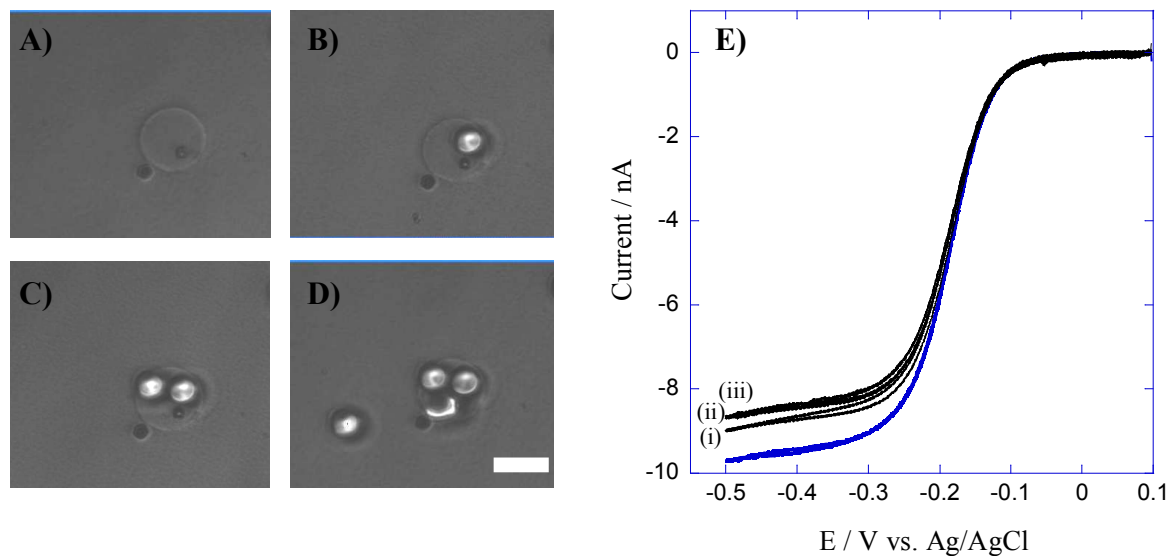


Figure 5. A-D) Images of an UME of radius  $r_e = 6 \mu\text{m}$  on which (A) 0, (B) 1, (C) 2 or (D) 3 beads of radius  $r_b = 3 \mu\text{m}$  were deposited using the optical tweezers. E) Corresponding cyclic voltammograms for the electrode without (blue line) or with (i) 1, (ii) 2 or (iii) 3 beads positioned on its surface. Same experimental conditions as in Figure 2. Scale bar:  $10 \mu\text{m}$ .

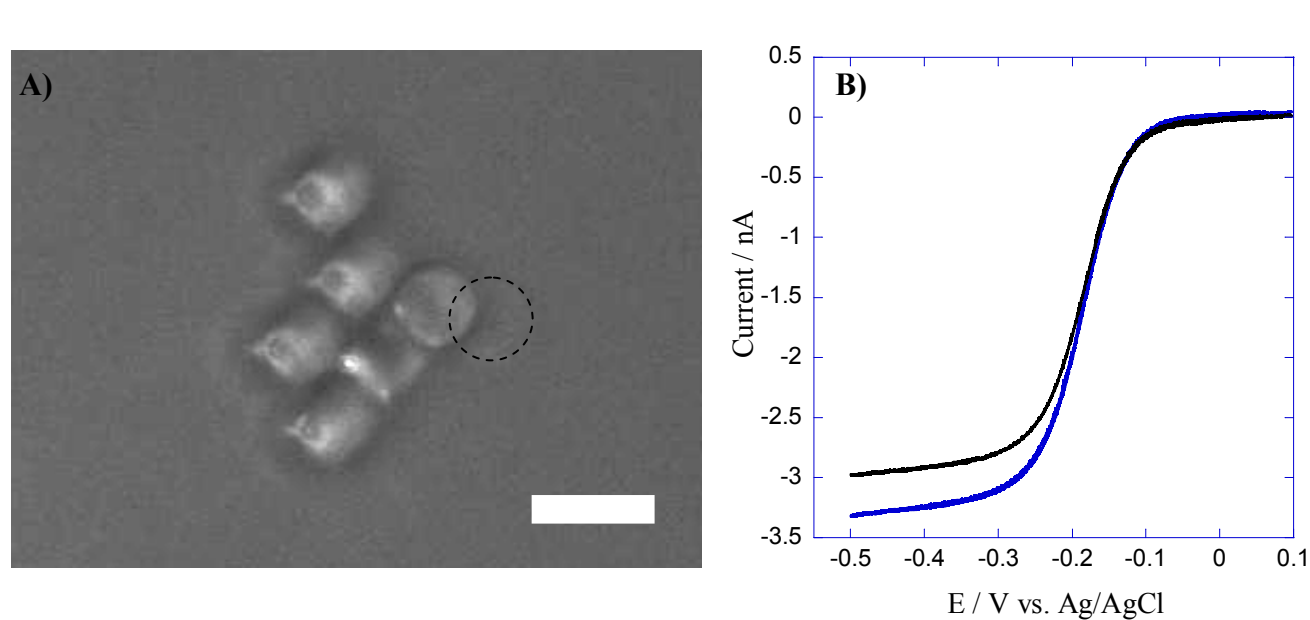


Figure 6. A) Image of an UME of radius  $r_e = 3 \mu\text{m}$  partially covered with 6 beads of radius  $r_b = 3 \mu\text{m}$  which were deposited using the optical tweezers. B) Corresponding cyclic voltammograms for the electrode without (blue line) or with the 6 beads (black line). The center-to-center distance between the electrode and the closest bead is  $4.8 \mu\text{m}$ . Same experimental conditions as in Figure 2. The dashed circle materializes the position of the electrode under the bead. Scale bar:  $10 \mu\text{m}$ .



Figure for TOC

